



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER**

KENNETH HAHN HALL OF ADMINISTRATION  
500 WEST TEMPLE STREET, ROOM 525  
LOS ANGELES, CALIFORNIA 90012-3873  
PHONE: (213) 974-8301 FAX: (213) 626-5427

**OSCAR VALDEZ**  
INTERIM AUDITOR-CONTROLLER

ASSISTANT AUDITOR-CONTROLLERS:

**MAJIDA ADNAN  
ROBERT G. CAMPBELL  
CONNIE YEE**

April 25, 2023

TO: Supervisor Janice Hahn, Chair  
Supervisor Hilda L. Solis  
Supervisor Holly J. Mitchell  
Supervisor Lindsey P. Horvath  
Supervisor Kathryn Barger

FROM: Oscar Valdez  
Interim Auditor-Controller

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JULY 1, 2022 THROUGH  
DECEMBER 31, 2022**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County (County) Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section (§) 53087.6. Pursuant to California Penal Code § 830.13 and Board of Supervisors Policy 9.040, OCI investigates or refers for investigation alleged criminal and administrative misconduct within County government.

OCI also tracks and compiles the results of Hotline investigations referred to, and conducted by, other County departments to ensure the allegations are properly investigated.

This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between July and December 2022, including statistical summaries, criminal prosecution results, and disciplinary and/or corrective actions imposed.

## Investigative Results

### Investigative Activity

- **566** Cases Completed Countywide
  - **124 Substantiated (21.9%)**
- **603** New Cases Reported (**16.9% increase** from prior period)
- **931** Cases Under Active Investigation (**4.1% increase** from prior period)

The following chart summarizes Hotline case investigative activity for the current period, which ended with **931 open cases** under investigation.

### Comparison of Current Case Counts with the Prior Reporting Period

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	06/30/2022	12/31/2022	% Increase (Decrease)
Beginning Caseload	836	894	6.9%
- Cases Closed	(458)	(566)	23.6%
+ New Cases Opened	516 <sup>1</sup>	603	16.9%
<b>Ending Caseload</b>	<b>894<sup>1</sup></b>	<b>931</b>	<b>4.1%</b>

We also dispositioned **178** other cases (categorized as Not Investigated on Attachment I) involving issues that were either not jurisdictional to OCI (which were referred to other agencies, as appropriate), or did not include sufficiently detailed information that would allow a meaningful investigation to be conducted.

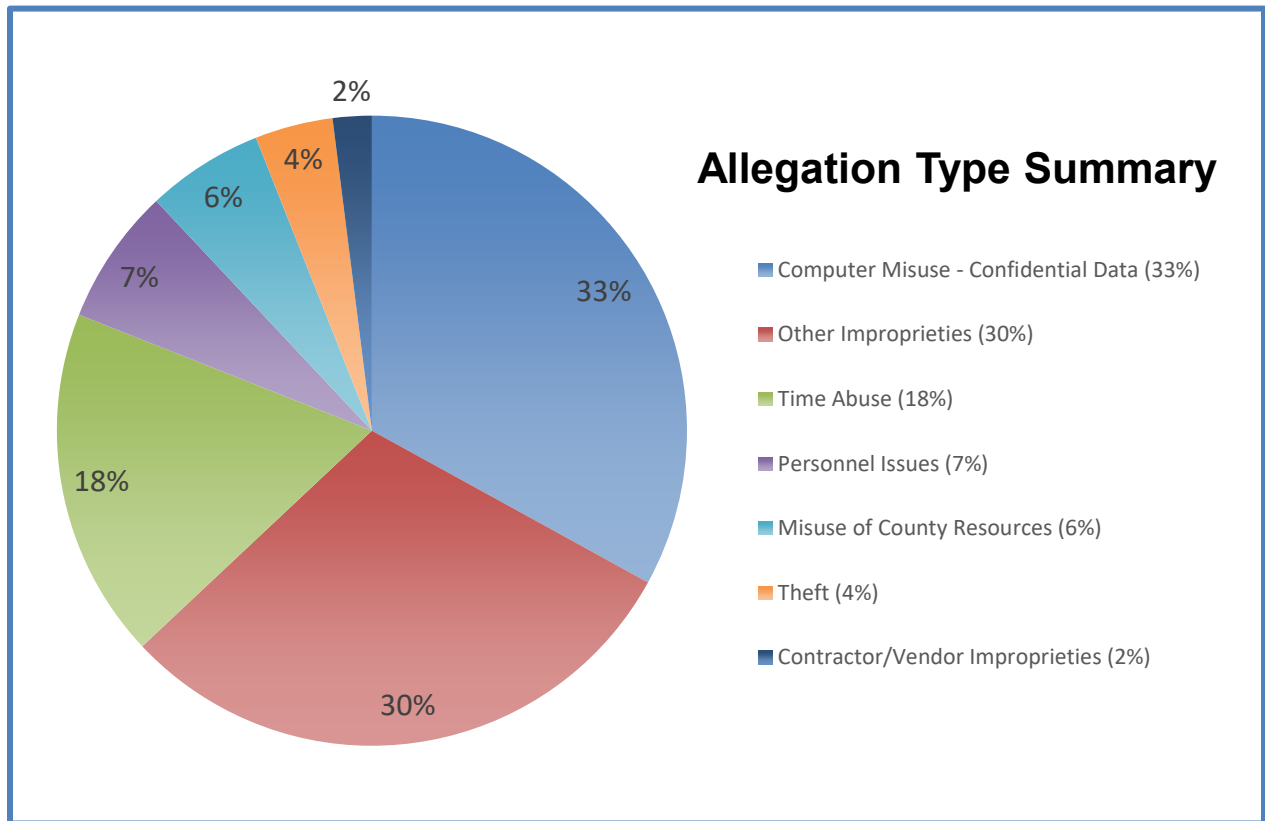
### Case Turnover

- The number of cases **closed** during the period **increased by approximately 23.6%** over the prior 6-month period.
- Also, the number of cases **under investigation for more than one year decreased by approximately 7.8%** since the prior reporting period.

---

<sup>1</sup> This differs from the amount reported for the preceding reporting period as three instances of additional information for existing cases were inadvertently counted as unique new cases in the prior period.

### Allegation Types – Substantiated Cases



- The **Other Improprieties** category includes the following most commonly substantiated subcategories:
  - Violations of County or departmental policies and/or procedures (43%)
  - Violations of outside employment Falsification of County records (27%)
  - Failure to perform job duties or services (14%)
  
- The **Personnel Issues** category includes the following substantiated subcategories:
  - Unprofessional behavior (56%)
  - Falsification of medical certifications (22%)
  - Substance abuse (22%)

### Disciplinary and/or Corrective Actions

- Of the **124** cases substantiated during this reporting period, departments reported that they finalized and imposed **99** separate disciplinary actions. In addition, of the **41** cases closed in prior periods where discipline/corrective actions were resolved in the current period, **81** separate disciplinary actions were finalized. The number of separate disciplinary actions may exceed the number of closed cases because

some cases involve more than one subject and/or corrective/disciplinary action taken.

- For all actions finalized, **approximately 56%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.<sup>2</sup>

ACTION(S) TAKEN	Current Period 07/01/22 to 12/31/22	Prior Periods	Total	%
<b>Significant Disciplinary Action<sup>3</sup></b>				
Discharged	2	3	5	3%
Resigned/Retired/Resigned in Lieu of Discharge	11	6	17	9%
Notice to Receiving Department, Notice in Personnel Folder (Top of File, Do Not Rehire or other restrictions)	13	8	21	12%
Suspended - 15 to 30 Days	4	4	8	4%
Suspended - Less than 15 Days	29	20	49	27%
<b>Subtotal</b>	<b>59</b>	<b>41</b>	<b>100</b>	<b>56%</b>
<b>Moderate Corrective Action<sup>4</sup></b>				
Counseled, Notice of Expectation, Procedures Changed/Reinforced, Training, Reprimanded/Warning, etc.	40	40	80	44%
<b>TOTAL ACTIONS TAKEN:</b>	<b>99</b>	<b>81</b>	<b>180</b>	<b>100%</b>

## Prosecutions and Criminal Convictions

- *The Assistant District Manager of a County Special District was convicted of felony grand theft for embezzling District funds over a nine-year period (2010-2018) in collusion with their Superintendent/cousin and Secretary/girlfriend. The Assistant District Manager was sentenced to three years formal probation and ordered to pay \$1.5 million in restitution. The Superintendent was convicted of misdemeanor acceptance of a gratuity and was sentenced to one year summary probation. The Secretary was also convicted of misdemeanor acceptance of a gratuity, and was sentenced to one year summary probation and ordered to pay \$10,000 in restitution.*
- *A private individual was convicted of one count of check fraud and forgery for stealing and fraudulently negotiating a County warrant in the amount of \$2,205.27.*

<sup>2</sup> Disciplinary actions reported as of the date of this report. All administrative actions may be appealed, and thus may later change as a result of civil service processes.

<sup>3</sup> "Significant Disciplinary Actions" involve the loss of County pay (such as suspensions) or employment.

<sup>4</sup> "Moderate Corrective Actions" do not result in the loss of County pay or employment.

*The subject was sentenced to 42 days in County jail, two years of formal probation, 200 hours of community service.*

- *Two (2) new cases were referred for prosecution by the Los Angeles District Attorney’s Office resulting from investigative efforts by OCI, in partnership with other local law enforcement entities, in which we found evidence that the subjects committed crimes against the County.*
- *Nineteen (19) cases remain in progress with various prosecutorial agencies (County and local District Attorneys).*

**Status of Cases Referred for Criminal Prosecution\***

<b>Status</b>	<b>Number of Cases</b>
Beginning Caseload**	23
+ New Cases Referred	2
- Cases Adjudicated	
• Convicted/Sentenced	(2)
• Negotiated Disposition (Restitution & Community Service)	(0)
• Not Prosecuted	(4)
<b>Ending Caseload***</b>	<b>19</b>

\* Includes status of all cases received by the Fraud Hotline including cases investigated by OCI, and cases ultimately investigated and referred for criminal prosecution by another law enforcement agency.

\*\* The Beginning Caseload for the current reporting period (23) is less than the Ending Caseload from the previous period (29) because our prior report included six cases that were previously adjudicated.

\*\*\* Ending Caseload includes cases pending a filing decision by the prosecutorial agency, cases in various stages of court proceedings, and cases in which criminal charges have been filed with the Court and awaiting apprehension or surrender of the subject(s)

***Index of Attachments***

**Attachment I** – Closed Case Summary (substantiated, not substantiated, and not investigated, by department)

**Attachment II** – Summary of Substantiated Cases (case narratives, by investigating department).

**Attachment III** – Resolved and Pending Disciplinary/Corrective Actions (by department and case number).

**Attachment IV** – Assigned Investigations Open Over One Year (by department).

Board of Supervisors

April 25, 2023

Page 6

We thank management and staff at each County department for their assistance and cooperation during our investigations and case tracking process. Please call me if you have any questions, or your staff may contact Greg Hellmold at (213) 893-0243 or [ghellmold@auditor.lacounty.gov](mailto:ghellmold@auditor.lacounty.gov).

OV:RGC:GH:AMS:cm

Fraud Hotline Status ending 12/31/2022

Attachments(4)

c: Fesia A. Davenport, Chief Executive Officer  
Celia Zavala, Executive Officer, Board of Supervisors  
Department Heads  
Audit Committee  
Countywide Communications



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE  
CLOSED CASE SUMMARY  
July 1, 2022 through December 31, 2022**

	<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated *</i>	<i>Totals</i>	
<b><u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u></b>					
Office of County Investigations	9	8	119	136	24.0%
<b><u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u></b>					
Aging and Disabilities	2	4	1	7	1.2%
Agricultural Commissioner / Weights & Measures	0	1	0	1	0.2%
Alternate Public Defender	0	1	0	1	0.2%
Animal Care and Control	0	5	0	5	0.9%
Beaches and Harbors	0	0	1	1	0.2%
Child Support Services	0	6	0	6	1.1%
Children and Family Services	14	56	8	78	13.8%
Economic Opportunity	1	5	1	7	1.2%
District Attorney	0	1	0	1	0.2%
Fire	3	7	6	16	2.8%
Health Services	14	43	14	71	12.5%
Human Resources	0	1	0	1	0.2%
Human Resources (Countywide)	0	14	2	16	2.8%
Internal Services Department	2	1	1	4	0.7%
Los Angeles County Employees Retirement Association	0	7	5	12	2.1%
Los Angeles County Museum of Art	1	0	0	1	0.2%
Los Angeles Homeless Services Authority	0	0	1	1	0.2%
Medical Examiner-Coroner	2	0	0	2	0.4%
Mental Health	6	9	8	23	4.1%
Parks and Recreation	1	1	0	2	0.4%
Probation	2	4	0	6	1.1%
Public Health	1	6	4	11	1.9%
Public Social Services	63	72	6	141	24.9%
Public Works	2	6	0	8	1.4%
Registrar-Recorder/County Clerk	0	3	0	3	0.5%
Sheriff	1	2	0	3	0.5%
Treasurer and Tax Collector	0	1	1	2	0.4%
<b>Other Departments Total:</b>	<b>115</b>	<b>256</b>	<b>59</b>	<b>430</b>	<b>76.0%</b>

**Grand Total**

124	21.9%	264	46.6%	178	31.5%	566	100%
-----	-------	-----	-------	-----	-------	-----	------

\* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 24 of 178 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE**

**SUMMARY OF SUBSTANTIATED CASES  
FOR THE PERIOD JULY 1, 2022 THROUGH DECEMBER 31, 2022**

**SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER**

**Chief Executive Office (CEO)**

**Case Number: 202118253** – Contractor/Vendor Improprieties

A County vendor (landlord) laundered \$128,000 in bribe payments from a second County vendor to a County employee during calendar years 2015 and 2016. The matter was referred to the LADA for prosecution, which declined to prosecute citing that the applicable statutes of limitations passed. The second vendor and employee were federally prosecuted as part of a separate case (201915400), and the employee resigned from County service in 2017.

**Countywide**

**Case Numbers: 202218685, 202219188, 202219705** – Theft

The Office of County Investigations (OCI) investigated three cases involving four County warrants that were altered and/or negotiated into bank accounts by someone other than the payee. We were unable to positively identify the perpetrator(s), and Bank of America reimbursed the County for each warrant.

**Department of Children and Family Services (DCFS)**

**Case Number: 202118334** – Theft

A DCFS employee fraudulently claimed and received reimbursement from both the County and their private insurance company for damage to their personal vehicle while conducting County business, resulting in a \$2,977 loss to the County. The matter was referred to the Los Angeles District Attorney's Office (LADA) for prosecution, and DCFS indicated the employee received a 14-day suspension without pay.

**Fire Department (Fire)**

**Case Number: 202118113** – Computer Misuse (Confidentiality Data)

A Fire employee and manager failed to implement security controls to restrict access to a website they developed for the Department, which resulted in the unauthorized disclosure of Personally Identifiable Information (PII) associated with County and non-County individuals. Also, two other Fire employees posted the location of the unprotected website on social media, which further exposed the PII. Fire indicated that one employee received a 12-day suspension without pay and the manager received a Letter of Reprimand. Fire indicated that for the remaining employees, they intend to demote one and suspend the other.



## Summary of Substantiated Cases

---

### Department of Medical Examiner-Coroner (ME)

**Case Number: 202118338** – Other Improprieties

A former ME employee fraudulently signed community service verification documents falsely certifying that a participant in a court-ordered ME community service program completed 296 service hours. The employee retired from County service prior to completion of the investigation. The case was referred to the LADA for prosecution and process/control improvements were recommended to department.

### Department of Mental Health (DMH)

**Case Number: 201814938** – Theft

A DMH employee used their County-issued credit card to make five unauthorized personal purchases totaling \$132 and was not forthcoming during the investigation. DMH indicated the employee reimbursed the County for the purchases, the employee's credit card was revoked, and the employee received a 5-day suspension without pay.

### Sheriff's Department (Sheriff)

**Case Number: 202117670** – Other Improprieties

Several Sheriff's Department employees arranged for a vendor to enter and conduct grading on private property without obtaining written authorization from the property owner or ensuring that required permits were obtained. The Sheriff implemented changes to Department policy and re-trained staff to ensure proper, legally sufficient authorization and permits are obtained.

---

**TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 9**

## Summary of Substantiated Cases

---

### CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

#### Aging and Disabilities Department

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	4	1	7

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Personnel Issues	1
Other Improprieties	1
<b>Total:</b>	<b>2</b>

#### High Risk / Impact Case Summaries

None

## Summary of Substantiated Cases

---

### Department of Children and Family Services (DCFS)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
14	56	8	78

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time Abuse	7
Other Improprieties	4
Personnel Issues	3
<b>Total:</b>	<b>14</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 202218728** – Personnel Issues

A DCFS employee behaved in a disruptive and unprofessional manner and made unauthorized off-duty visits to a DCFS work site while intoxicated. The employee also harassed and threatened other employees with physical violence, and failed to cooperate during the administrative investigation. DCFS indicated that disciplinary action is pending.

**Case Number: 202218833** – Personnel Issues

A DCFS employee was found to be in possession of a controlled substance during an interaction with law enforcement. The employee also failed to fully cooperate with and was not forthcoming during the County's administrative investigation. DCFS indicated disciplinary action is pending.

**Case Number: 202219232** – Time Abuse

A DCFS employee falsified their time records by claiming full shifts teleworked on five occasions for which there is no evidence the employee completed any work. The employee also exhibited a pattern of excessive tardiness over an extended time period and failed to record variances on their time records. The employee's supervisor failed to properly supervise the employee and ensure the employee's time and attendance were monitored. DCFS indicated that timecard corrections will be requested, and disciplinary actions are pending for the employee and the supervisor.

**Case Number: 202219319** – Other Improprieties

A DCFS employee failed to notify their administrator in writing that they resided in the home of a department foster parent where DCFS clients are placed. None of the children placed in the home were assigned to the employee's caseload or regional office. DCFS indicated that disciplinary action is pending.

## Summary of Substantiated Cases

---

### Department of Economic Opportunity (DEO)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	5	1	7

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

**Case Number: 201916024** – Other Improprieties

A former WDACS (now DEO) employee drove their vehicle for County business and submitted mileage claims after being notified by the Department their driver license was expired and needed to be renewed. DEO indicated that the employee received a 15-day suspension without pay.

---

## Summary of Substantiated Cases

---

### Fire Department (Fire)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	7	6	16

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	3
<b>Total:</b>	<b>3</b>

### High Risk / Impact Case Summaries

**Case Number: 201915412** – Other Improprieties

A Fire employee engaged in a verbal and physical altercation with a member of the public while on duty on one occasion, and reported to work under the influence of alcohol on another occasion. Fire indicated the employee received a 30-day suspension without pay.

**Case Number: 202017041** – Other Improprieties

Fire staff at eight Fire Stations improperly used Department utility outlets to charge their personal electric vehicles, in violation of Department policy. Fire indicated that supervisors and staff at each station were counseled to discontinue the practice.

**Case Number: 202218986** – Other Improprieties

A Fire employee used their official position with the Department to receive favorable treatment in a civil dispute. Fire indicated the employee was issued a Notice of Instruction and was counseled.

## Summary of Substantiated Cases

---

### Department of Health Services (DHS)

---

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
14	43	14	71

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time Abuse	9
Misuse of Computer/County Resources	2
Computer Misuse (Confidential Data)	1
Contractor/Vendor Improprieties	1
Other Improprieties	1
<b>Total:</b>	<b>14</b>

#### **High Risk / Impact Case Summaries**

---

**Case Number: 202017241** – Contractor/Vendor Improprieties

A DHS partner agency did not provide the level of staffing indicated in their affiliation agreement with the County, doubled-booked staff, and ultimately billed the County for service hours that were not provided. DHS has recovered approximately \$9.6 million in overpayments via payment deductions and will recover approximately \$3 million more via withholdings from future payments. DHS also indicated that the affiliated agency prepared a corrective action plan, appointed new contract compliance management, and implemented new scheduling procedures to prevent recurrence of the issues.

---

**Case Number: 202117671** – Other Improprieties

A DHS contract physician falsely identified their relative as a healthcare worker so the relative could receive a COVID-19 vaccination at the County clinic where the contract physician was working. The contract physician had been told previously that their relative did not meet the COVID-19 vaccination eligibility/prioritization guidelines in place at the time. DHS indicated that disciplinary action is pending for the contract physician.

---

**Case Number: 202118275** – Time Abuse

A DHS employee clocked in to work late on numerous occasions, left work for extended time periods during their regular work shift, and falsified their time records to indicate that they worked hours/days when they were not at work. DHS indicated that disciplinary action is pending and that timecard correction forms have been completed for the time discrepancies.

---

## Summary of Substantiated Cases

---

### Internal Services Department (ISD)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	1	1	4

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	2
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

**Case Number: 201915447** – Other Improprieties

ISD managers improperly used a master agreement to continuously contract with a vendor over a 14-year period to perform a standard job function of the unit, as opposed to performing unique, as-needed, and/or temporary work projects required by the master agreement. The managers also failed to ensure the vendor's County e-mail account was disabled between contract renewal periods, in violation of Departmental policy. ISD issued required corrective actions to the responsible ISD unit to ensure that policies and practices concerning the use of master agreements and the disabling of vendor County e-mail accounts are followed and reinforced.

**Case Number: 202118038** – Other Improprieties

An ISD employee failed to respond to a public records act (PRA) request within the required timeframe. ISD indicated that the Department updated its PRA policy and procedures and implemented a new system to track PRA requests and responses.

## Summary of Substantiated Cases

---

### Los Angeles County Museum of Art (LACMA)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	0	0	1

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time Abuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None



## Summary of Substantiated Cases

---

### Department of Medical Examiner-Coroner (ME)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	0	0	2

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time Abuse	1
Other Improprieties	1
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

**Case Number: 202219175** – Other Improprieties

An unknown ME employee improperly posted graphic photos of work-related scenes on a public social media account. ME issued a Departmental social media policy and confirmed with the social media site that the account is deactivated.

---

## Summary of Substantiated Cases

---

### Department of Mental Health (DMH)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
6	9	8	23

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	2
Time Abuse	2
Misuse of County Resources	1
Contractor/Vendor Improprieties	1
<b>Total:</b>	<b>6</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201814792** – Misuse of County Resources

Two DMH employees used a County vehicle for personal use (i.e., personal shopping) during work hours. The supervisor was aware of the improper use of the County vehicle and failed to take corrective action. DMH indicated that one employee resigned, and a Top of File was placed in their personnel folder, and disciplinary actions are pending for the second employee and the supervisor.

**Case Number: 202017361** – Other Improprieties

A DMH manager failed to ensure that a subordinate covered by the Fair Labor Standards Act was compensated for overtime hours during a two-month period when they were aware the subordinate worked overtime. DMH indicated that disciplinary action and retroactive compensation is pending.

**Case Number: 202117945** – Contractor/Vendor Improprieties

A DMH contractor overbilled the County \$18,547 for services not provided, inflated billing hours, and up-coded invoices by billing for more expensive services than were actually provided. DMH indicated they are reviewing possible corrective actions, including recovering the overpayments. OCI is assisting in preparing a possible referral to the LADA.

**Case Number: 202118563** – Other Improprieties

A DMH employee traveled out of the County for personal business during work hours and failed to report their absence to management. DMH indicated that disciplinary action is pending.

## Summary of Substantiated Cases

---

### Department of Parks and Recreation (Parks)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time Abuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

**Case Number: 202219424** – Time Abuse

A Parks employee abused their time by leaving work early on multiple occasions, failed to notify their supervisor when they left, and failed to record variances on their time records. Parks indicated that the employee received a 30-day suspension without pay and intends to process timecard adjustments.

---

## Summary of Substantiated Cases

---

### Probation Department (Probation)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	4	0	6

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Misuse of County Resources	1
Personnel Issues	1
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

**Case Number: 202017169** – Misuse of County Resources

Probation management erroneously gave permission for an employee to use a County vehicle for a portion of their commute during the pandemic to support staffing needs. Probation indicated that management and the employee were reminded of Departmental policies regarding the assignment and use of County vehicles.

**Case Number: 202218677** – Personnel Issues

A Probation employee improperly engaged in political activity during regular County work hours. Probation indicated that the employee was counseled.

## Summary of Substantiated Cases

---

### Department of Public Health (DPH)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	6	4	11

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Personnel Issues	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

## Summary of Substantiated Cases

---

### Department of Public Social Services (DPSS)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
63	72	6	141

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Computer Misuse (Confidential Data)	39
Other Improprieties	17
Misuse of County Resources	3
Personnel Issues	3
Time Abuse	1
<b>Total:</b>	<b>63</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 202118263** – Other Improprieties

A DPSS employee falsely reported their household composition and income on their CalFresh application and failed to report living with another welfare recipient, resulting in a welfare overpayment of approximately \$4,660. DPSS investigators also noted the employee was not forthcoming during their investigation. DPSS referred the case to LADA for criminal prosecution and intends to discharge the employee.

**Case Number: 202118399** – Other Improprieties

A DPSS employee violated policy and jeopardized the confidentiality of client data by using their County e-mail account to send information to personal e-mail accounts of clients, rather than instructing clients to submit forms using Department-approved methods (e.g., in-person mail drop or online portal). The employee also created and updated client welfare accounts on behalf of clients on the public-facing website, and behaved in an insubordinate manner to their supervisor. Investigators reviewed the client welfare accounts created and updated by the employee, but did not find evidence that anyone other than the clients benefited. DPSS intends to give the employee a 5-day suspension without pay.

**Case Number: 202118415** – Personnel Issues

A DPSS employee submitted a falsified medical certification to excuse their absences from work. DPSS indicated the employee was discharged for job abandonment and a Top of File notice was placed in their personnel folder.

## Summary of Substantiated Cases

---

### **Case Number: 202118546** – Other Improprieties

Two DPSS employees failed to follow office business processes concerning the authorization of benefits for two cases, and failed to document in CalSAWS the justification for actions taken concerning the two cases. One of the employees also failed to follow a direct order given by their supervisor to not authorize benefits for cases originating from another office. Further, the two employees excessively socialized with each other during work hours. DPSS intends to give one employee a 10-day suspension without pay and the second employee a 5-day suspension without pay.

---

### **Case Number: 202218687** – Other Improprieties

A DPSS employee failed to disclose their County income when they applied for and received CalFresh benefits, which resulted in an overpayment of \$1,771. DPSS indicated that the case was referred to the LADA, which declined to prosecute. DPSS also indicated that the overpayment is being recovered through benefit reduction, and disciplinary action is pending.

---

### **Case Number: 202218844** – Other Improprieties

A DPSS employee cheated during a Civil Service examination by removing their cell phone from their purse on more than one occasion to view images of test-related information. DPSS indicated that as a result, the Department spent \$45,000 to revise the exam and it was necessary for 479 candidates to retake the written test. DPSS intends to discharge the employee.

---

### **Case Number: 202218887** – Other Improprieties

A DPSS employee engaged in a conflict of interest by improperly using their Departmental badge to gain access to a non-public area of a DPSS office and requesting that another employee expedite their relatives' Medi-Cal applications. The employee also inappropriately left a gift for the other employee. DPSS indicated that the employee received a 10-day suspension without pay.

---

### **Case Number: 202218940** – Other Improprieties

A DPSS employee improperly accessed their County worksite after business hours on more than 10 occasions. The employee also failed to comply with management instructions to leave the worksite by the end of the business day and admitted to using their assigned County computer to conduct personal business on several occasions. DPSS indicated that the employee received a warning.

---

### **Case Number: 202218988** – Other Improprieties

A DPSS employee had a significant number of unauthorized absences and accessed DPSS systems on multiple occasions while off work, in violation of DPSS guidelines created to safeguard confidential data. DPSS indicated that the employee received a 10-day suspension without pay.

---

### **Case Number: 202219114** – Time Abuse

A DPSS employee falsely claimed on 15 occasions that they used County-paid time to report for COVID-19 testing, but Fulgent records indicate the employee tested before the start of their work shift or on a different date than those reported on official time records. DPSS indicated that the employee received a 5-day suspension without pay.

---

### **Case Number: 202219127** – Personnel Issues

A DPSS employee submitted a falsified medical certification to excuse their absence from work. DPSS intends to give the employee a 10-day suspension without pay.

---

## Summary of Substantiated Cases

---

---

### **Case Number: 202219224** – Other Improprieties

A DPSS employee failed to report to the Department that they were arrested for and subsequently convicted of driving under the influence of alcohol with their children in the vehicle. DPSS indicated that the employee resigned from County service and a Top of File notice was placed in their personnel folder.

---

### **Case Number: Various** – Other Improprieties

DPSS investigated six cases involving six employees who failed to report their outside employment activities to the Department, as required. DPSS indicated that four employees received a 5-day suspension without pay, one employee received a warning, and one employee resigned from County service.

---

### **Case Number: Various** – Computer Misuse (Confidential Data)

DPSS investigated 38 cases involving 39 employees who improperly accessed the California Statewide Automated Welfare Systems and/or Medi-Cal Eligibility Data Systems to view personal and confidential information in participants' case records without authorization or a legitimate County business need. In 27 of these cases, the employees failed to report that the participants were relatives and/or that participants received aid while residing with the employee. DPSS indicated that:

- 15 employees received a 10-day suspension without pay,
- Two employees received a 5-day suspension without pay,
- Four employees received reprimands,
- Two employees resigned from County service,
- 13 employees have disciplinary actions pending, and
- Three employees did not receive discipline from DPSS since they transferred to a different County department before the investigations were completed. DPSS indicated they recently notified the receiving department(s) of their investigative results to consider appropriate discipline, and will update their policy to ensure that future notifications are made timely.



## Summary of Substantiated Cases

---

### Department of Public Works (DPW)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	6	0	8

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	2
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

None

## Summary of Substantiated Cases

---

### Sheriff's Department (Sheriff's)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	2	0	3

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

**Case Number: 202017217** – Other Improprieties

A Sheriff's employee was concurrently employed full time by the County and a local education district for a one-month period. The Sheriff's indicated the LADA declined to file charges because the employee continued to conduct County business during overlapping hours and it was unclear whether the Sheriff was deprived of services. The employee was discharged.

---



**LOS ANGELES COUNTY FRAUD HOTLINE  
RESOLVED AND PENDING DISCIPLINARY / CORRECTIVE ACTIONS  
FROM PREVIOUS SEMI-ANNUAL REPORTS  
As of April 24, 2023**

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding (1)
<b>Aging and Disabilities</b>	<b>0</b>	<b>2</b>	
		201915470 (2)	975
		201915964 (4)	1110
<b>Assessor</b>	<b>1</b>	<b>0</b>	
<b>Chief Executive Office</b>	<b>0</b>	<b>1</b>	
		202118136 (2)	247
<b>Children and Family Services</b>	<b>8</b>	<b>13</b>	
		201915177 (2)	975
		201915880 (3)	1025
		202016998	820
		202017078 (3)	795
		202017293 (2)	431
		202017497	662
		202117708	200
		202118015	324
		202118201	387
		202118435 (3)	227
		202218649 (3)	206
		202218730	186
		202218737	204
<b>County Counsel</b>	<b>0</b>	<b>1</b>	
		201915289	467
<b>Health Services</b>	<b>18</b>	<b>28</b>	
		201915127	362
		201915180 (3)	927
		201915283	362
		201915398	925
		201915583	362
		201915611	927
		201915803	556
		201915853	731
		201915896 (3)	932
		201915993	738
		201916091	550
		201916204 (3)	922
		201916286	844
		201916410	362
		202016772	374
		202016820	187
		202016911	186

(1) Calculated from date department issued investigation report. Per protocols issued by the Department of Human Resources (DHR), each department's management is responsible for prioritizing outstanding cases based on the level of discipline. Office of County Investigations (OCI) is not responsible for evaluating the cause or reasonableness of the delays. OCI's role is to follow up with departments for a status of pending discipline for inclusion in this report.

(2) Case investigated by OCI.

(3) Discipline is pending due to the employee(s)' leave of absence. DHR has issued protocols to assist departments with managing the disciplinary process with employees on leave. DHR has also recommended that each department consult with DHR and County Counsel on cases that involve discipline and employee leaves to determine the appropriate administrative actions to resolve such cases timely.

(4) Case investigated by DHR (Countywide).



**LOS ANGELES COUNTY FRAUD HOTLINE  
RESOLVED AND PENDING DISCIPLINARY / CORRECTIVE ACTIONS  
FROM PREVIOUS SEMI-ANNUAL REPORTS  
As of April 24, 2023**

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding (1)
<b>Health Services</b> (continued)			
		202017109 (3)	550
		202017347	193
		202017451	191
		202017483	193
		202117607	193
		202117710	191
		202117815	376
		202118326	312
		202118459	194
		202118515	185
		202218658	193
<b>Internal Services</b>	<b>0</b>	<b>1</b>	
		202016990 (2)	432
<b>Mental Health</b>	<b>4</b>	<b>6</b>	
		201713708	1124
		201814216	382
		201814220	507
		201814592	303
		201915645	1119
		202017239	275
<b>Military and Veterans Affairs</b>	<b>0</b>	<b>1</b>	
		201915047 (2)	829
<b>Parks</b>	<b>0</b>	<b>3</b>	
		201713594 (2)	677
		201916400 (3)	758
		202118247	338
<b>Public Defender</b>	<b>0</b>	<b>1</b>	
		202017385 (2)	306
<b>Public Social Services</b>	<b>9</b>	<b>4</b>	
		202118068 (3)	638
		202118271	243
		202218704	211
		202218854	199
<b>Public Works</b>	<b>1</b>	<b>0</b>	
<b>Registrar-Recorder</b>	<b>0</b>	<b>1</b>	
		202016728 (4)	421
<b>Departments Total:</b>	<b>41</b>	<b>62</b>	

(1) Calculated from date department issued investigation report. Per protocols issued by the Department of Human Resources (DHR), each department's management is responsible for prioritizing outstanding cases based on the level of discipline. Office of County Investigations (OCI) is not responsible for evaluating the cause or reasonableness of the delays. OCI's role is to follow up with departments for a status of pending discipline for inclusion in this report.

(2) Case investigated by OCI.

(3) Discipline is pending due to the employee(s)' leave of absence. DHR has issued protocols to assist departments with managing the disciplinary process with employees on leave. DHR has also recommended that each department consult with DHR and County Counsel on cases that involve discipline and employee leaves to determine the appropriate administrative actions to resolve such cases timely.

(4) Case investigated by DHR (Countywide).



**LOS ANGELES COUNTY FRAUD HOTLINE  
CASES ASSIGNED FOR INVESTIGATION  
OPEN OVER ONE YEAR, BY DEPARTMENT  
*As of December 31, 2022***

<b>Department</b>	<b>Number of Cases Open Over One Year<sup>1</sup></b>
Aging and Disabilities	2
Assessor	2
Chief Executive Office	5
County Counsel	7
Economic Opportunity	7
District Attorney	1
Fire	11
Health Services	49
Human Resources (Countywide)	9
Internal Services	35
LA County Library	1
Los Angeles Homeless Services Authority	6
Medical Examiner	2
Mental Health	80
Military and Veterans Affairs	2
Probation	3
Public Defender	7
Public Social Services	4
Public Works	1
Sheriff	14
<b>Total Number of Cases Outstanding Over One Year</b>	<b>248</b>

(1) The Office of County Investigations referred these cases to the applicable County departments since the nature of the allegations are such that they are more appropriately reviewed by the department.