



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER**

KENNETH HAHN HALL OF ADMINISTRATION
500 WEST TEMPLE STREET, ROOM 525
LOS ANGELES, CALIFORNIA 90012-3873
PHONE: (213) 974-8301 FAX: (213) 626-5427

ARLENE BARRERA
AUDITOR-CONTROLLER

OSCAR VALDEZ
CHIEF DEPUTY AUDITOR-CONTROLLER

ASSISTANT AUDITOR-CONTROLLERS:

**PETER HUGHES
KAREN LOQUET
CONNIE YEE**

October 7, 2021

TO: Supervisor Hilda L. Solis, Chair
Supervisor Holly J. Mitchell
Supervisor Sheila Kuehl
Supervisor Janice Hahn
Supervisor Kathryn Barger

FROM: Arlene Barrera 
Auditor-Controller

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JANUARY 1, 2021
THROUGH JUNE 30, 2021**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County (County) Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section (§) 53087.6. Pursuant to California Penal Code § 830.13 and Board of Supervisors Policy 9.040, OCI investigates or refers out for investigation alleged criminal and administrative misconduct within County government.

OCI also tracks and compiles the results of Hotline investigations referred to and conducted by other County departments to ensure the allegations are properly investigated.

This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between January and June 2021, and also provides statistical summaries, criminal prosecution results, and disciplinary and/or corrective actions imposed.

Investigative Results

Investigative Activity

- 558 Cases Completed Countywide
 - 102 **Substantiated** (18.3%)
- 491 New Cases Reported (1.2% **increase** from prior period)
- 802 Cases Under Active Investigation (7.7% **decrease** from prior period)

During Fiscal Year 2020-21, OCI provided training to 521 County employees on fraud awareness and/or investigation techniques. All training sessions were provided successfully in an interactive, virtual environment during the COVID-19 pandemic.

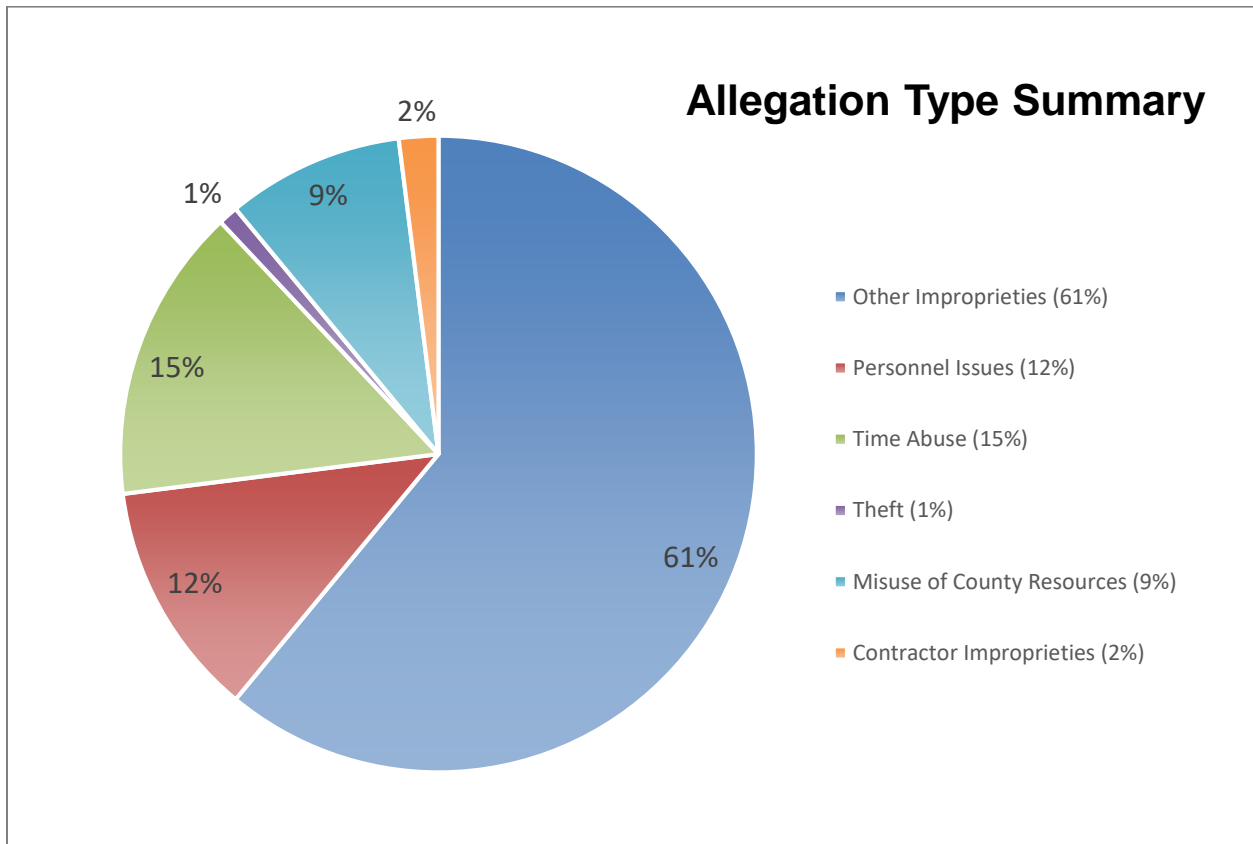
The following chart summarizes Hotline case investigative activity for the current period, which ended with **802 open cases** under investigation.

Comparison of Current Case Counts with the Prior Reporting Period

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	12/31/2020	6/30/2021	% Increase (Decrease)
Beginning Caseload	895	869	(2.9)%
- Cases Closed	(511)	(558)	9.2%
+ New Cases Opened	485	491	1.2%
Ending Caseload	869	802	(7.7)%

We also dispositioned 237 other cases (categorized as Not Investigated on Attachment I) involving issues that were either not jurisdictional to OCI (which were referred to other agencies, as appropriate), or did not include sufficiently detailed information that would allow a meaningful investigation to be conducted.

Allegation Types – Substantiated Cases



- The **Other Improprieties** category includes the following most commonly substantiated subcategories:
 - Misuse of confidential data (21%)
 - Falsification of medical certificates and/or County records (21%)
 - Violations of County or departmental policies and/or procedures (16%)
 - Failure to perform job duties (6%)

- The **Personnel Issues** category includes the following substantiated subcategories:
 - Falsification of time records (58%)
 - Various forms of workplace-related misconduct (42%)

Disciplinary and/or Corrective Actions

- Of the 102 cases substantiated during this reporting period, departments reported that they finalized and imposed 72 separate disciplinary actions. In addition, of the 26 cases closed in prior periods where discipline/corrective actions were resolved in the current period, 37 separate disciplinary actions were finalized. The number of separate disciplinary actions may exceed the number of closed cases because some cases involve more than one subject and/or corrective/disciplinary action taken.
- For all actions finalized, **approximately 47%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.¹

ACTION(S) TAKEN	Current Period 1/1/21 to 6/30/21	Prior Periods	Total	%
Significant Disciplinary Action				
Discharged	2	3	5	5%
Resigned/Retired/Resigned in Lieu of Discharge	10	4	14	13%
Notice in Personnel Folder (Do Not Rehire or other restrictions)	5	5	10	9%
Suspended - 15 to 30 Days	0	3	3	3%
Suspended - Less than 15 Days	10	9	19	17%
Subtotal	27	24	51	47%
Moderate Corrective Action				
Counseled, NOE, Procedures Changed/Reinforced, Training, Reprimanded/Warning, etc.	45	13	58	53%
TOTAL ACTIONS TAKEN:	72	37	109	100%

Case Turnover

- The number of cases **closed** during the period **increased by approximately 9%** over the prior 6-month period.
- Also, the number of cases **open more than one year decreased by approximately 2%** since the prior reporting period.

¹ Disciplinary actions reported accurately as of the date of this report. All administrative actions may be appealed, and thus may later change as a result of civil service processes.

Prosecutions and Criminal Convictions

- *Two family members of a deceased adoptive parent were each convicted of one count of grand theft for stealing a total of \$103,052 in adoption benefit payments over a seven-year period. The subjects were sentenced to pay total restitution of \$75,000 to the County, as well as formal probation and community service.*
- *A former Internal Services Department manager was convicted of one count of grand theft for engaging in various procurement schemes with County vendors to defraud the County. The subject was sentenced to formal probation and paid restitution of \$7,089 to the County.*
- *Investigative efforts by OCI in partnership with other local law enforcement entities resulted in the Los Angeles District Attorney’s Office accepting for prosecution four (4) new cases for which we found evidence that the subjects committed crimes against the County.*
- *In addition, another 25 cases remain in progress with various prosecutorial agencies (County and local District Attorneys).*

Open Cases Referred for Prosecution – District Attorney and Other Agencies

Status	Number of Cases
Beginning Caseload ²	28
+ New Cases Referred	4
- Cases Adjudicated	
• Convicted/Sentenced	(3)
• Not Prosecuted	(4)
Ending Caseload	25

Index of Attachments

Attachment I – Closed Case Summary: Substantiated, not substantiated, and cases not investigated, by department.

Attachment II – Substantiated case narratives, by investigating department.

² This differs from the ending caseload in the preceding Semi-Annual report to account for the addition of two pending criminal cases from prior periods.

Board of Supervisors

October 7, 2021

Page 6

Attachment III – Disciplinary/correction action(s) resolved and pending, by department and case number.

Attachment IV – Active investigations open over one year, by department.

We thank Departmental management and staff for their assistance and cooperation during our Hotline case investigation and tracking process. Please call me if you have any questions, or your staff may contact Robert Campbell at (213) 893-0058 or rcampbell@auditor.lacounty.gov.

AB:OV:PH:RGC:AMS:cdr

Fraud Hotline Status ending 6/30/2021

Attachments

c: Fesia A. Davenport, Chief Executive Officer
Celia Zavala, Executive Officer, Board of Supervisors
Department Heads
Audit Committee
Countywide Communications



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE
CLOSED CASE SUMMARY
January 1, 2021 through June 30, 2021**

	Substantiated	Not Substantiated	Not Investigated *	Totals				
<u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u>								
Office of County Investigations	7	17	169	193	34.6%			
Administrative Services Division	1	2	0	3	0.5%			
	8	19	169	196	35.1%			
<u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u>								
Animal Care and Control	0	1	0	1	0.2%			
Assessor	1	1	0	2	0.4%			
Beaches and Harbors	0	1	0	1	0.2%			
Chief Executive Office	1	0	0	1	0.2%			
Child Support Services	1	1	0	2	0.4%			
Children and Family Services	16	44	18	78	14.0%			
District Attorney	0	3	1	4	0.7%			
Health Services	14	33	4	51	9.1%			
Human Resources (Countywide)	6	32	1	39	7.0%			
Internal Services	0	3	2	5	0.9%			
LA County Library	0	1	0	1	0.2%			
Los Angeles Homeless Services Authority	0	0	1	1	0.2%			
Medical Examiner	0	1	0	1	0.2%			
Mental Health	9	1	8	18	3.2%			
Parks and Recreation	0	2	0	2	0.4%			
Probation	2	4	13	19	3.4%			
Public Defender	1	1	0	2	0.4%			
Public Health	2	8	6	16	2.8%			
Public Social Services	25	27	1	53	9.5%			
Public Works	3	4	0	7	1.2%			
Regional Planning	2	4	0	6	1.0%			
Registrar-Recorder/County Clerk	2	4	5	11	2.0%			
Sheriff	9	22	7	38	6.8%			
Treasurer and Tax Collector	0	2	1	3	0.5%			
Other Departments Total:	94	200	68	362	64.9%			
Grand Total	102	18.3%	219	39.2%	237	42.5%	558	100%

* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 26 of 237 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE**

SUMMARY OF SUBSTANTIATED CASES

FOR THE PERIOD JANUARY 1, 2021 THROUGH JUNE 30, 2021

SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER

Auditor-Controller (A-C)

Case Number: 202016798

An A-C employee was hostile and verbally abusive toward two Department of Mental Health employees and a member of the public during an interaction outside of the workplace which was unrelated to the subject's County employment. The employee also failed to fully cooperate during a subsequent administrative investigation. The A-C intends to give the employee a written reprimand.

Department of Children and Family Services (DCFS)

Case Number: 201915923

Six DCFS employees improperly accessed the Child Welfare System/Case Management System (CWS/CMS) to view personal and confidential information in a sensitive case without authorization or a legitimate County business reason. DCFS indicated that disciplinary actions are pending.

Fire Department (Fire)

Case Number: 201916003

A Fire manager circumvented the procurement process by requesting a County agreement vendor bill for repairs that they did not complete or facilitate. The manager allowed the vendor to mark up three invoices by 10%¹ although the vendor did not complete or facilitate the work. The manager also solicited a donation from the County vendor for a non-County organization. Fire indicated that policies and procedures were implemented, and disciplinary action is pending.

Department of Parks and Recreation (Parks)

Case Number: 201713594

A Parks manager improperly accepted non-monetary donations valued at \$52,388 from 2015 to 2017 without obtaining required approvals, and inappropriately distributed County property (i.e., tickets intended for youth field trips) totaling \$54,007 to Parks employees and other organizations. The Parks manager also created a conflict of interest by approving amusement park ticket purchases that were submitted by their spouse, who was employed by the amusement park at the time. In addition, employees at various Parks locations allowed their family members to attend a Parks program without paying the required fees from 2015 to 2019. Parks indicated that disciplinary and corrective actions are pending.

¹ 10% was the allowable markup rate per the vendor's County agreement for any work that they facilitate with subcontractors on behalf of Fire.

Summary of Substantiated Cases

Case Number: 202016662

A former Parks executive manager improperly awarded over \$3.5 million in Measure A Technical Assistance Program (TAP) grant funds to five non-profit agencies without using a public, competitive award process. The manager also created a conflict of interest by allowing a prospective grant recipient to assist with developing and writing the scope of work for the TAP program, and subsequently awarded a TAP grant to the same agency. Parks indicated that the executive manager was discharged and they cancelled the grant agreements. Additionally, Parks indicated they developed and implemented policies and procedures to improve oversight of the Measure A grant award and administration process.

Department of Public Works (DPW)

Case Number: 201814392

A DPW employee engaged in business transactions that created a conflict of interest, failed to report their outside employment, and failed to declare the proceeds of their private business on their annual Form 700. The case was referred to the Los Angeles District Attorney's Office (LADA) for possible prosecution. DPW indicated that disciplinary action is pending.

Case Number: 202016924

An unknown perpetrator broke into a DPW facility (Yard) and stole diesel fuel valued at approximately \$3,000. The perpetrator was able to escape undetected in part because a DPW employee did not respond properly to an alarm at the site of the theft. DPW indicated that the employee who failed to properly respond to the security alarm received a written reprimand. OCI and DPW investigated two additional thefts from DPW Yards during this reporting period and in response to our recommendations, DPW indicated they are conducting a security assessment of facilities where high-value assets and commodities are stored and will develop a risk-based plan to implement appropriate security measures.

Registrar-Recorder/County Clerk (RR/CC)

Case Number: 202017395

A non-County individual improperly solicited and directed residents to vote for specific candidates, took at least 21 of the residents' ballots including two uncompleted ballots from the voters, and submitted them to the RR/CC without identifying that they collected and submitted them on behalf of the voters. The matter was referred to the LADA for possible prosecution.

TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 8

Summary of Substantiated Cases

CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

Alternate Public Defender (APD)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1 ²	0	0	1

Substantiated Cases:

Primary Allegation Type(s)	No.
Other Improprieties	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 201713661 – Other Improprieties

A former APD executive allowed staff to consume alcohol on County property and during County work hours on at least one occasion. APD indicated that the executive retired prior to the conclusion of the investigation.

Fraud Hotline Cases Open Over One Year:	0
--	----------

² This case was investigated by the Department of Human Resources (DHR).

Summary of Substantiated Cases

Department of Animal Care and Control

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1 ³	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Other Improprieties	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
--	----------

³ This case was investigated by DHR.

Summary of Substantiated Cases

Office of the Assessor

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Other improprieties	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
--	----------

Summary of Substantiated Cases

Chief Executive Office (CEO)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	0	0	1

Substantiated Cases:

Primary Allegation Type(s)	No.
Personnel Issues	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 202117715 – Personnel Issues

A former CEO employee provided incorrect and misleading information on their application for a promotional position. The employee also failed to report their outside employment activities. CEO indicated that the employee resigned from County service prior to disciplinary action being imposed.

Fraud Hotline Cases Open Over One Year:	2
--	----------

Summary of Substantiated Cases

Child Support Services Department (CSSD)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Other Improprieties	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 202017152 – Other Improprieties

A CSSD employee submitted two falsified medical certifications to excuse their absences from work and violated their telework program agreement. The employee also failed to provide a police report concerning their County assigned laptop, which they stated was stolen. CSSD indicated that the employee was discharged, timecard corrections were submitted, and the employee was issued an overpayment notice requiring repayment totaling \$1,133.

Fraud Hotline Cases Open Over One Year:	0
--	----------

Summary of Substantiated Cases

DCFS

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
16	44	18	78

Substantiated Cases:

Primary Allegation Type(s)	No.
Other Improprieties	12
Time Abuse	4
Total:	16

High Risk / Impact Case Summaries

Case Number: 202017079 – Time Abuse

A DCFS employee did not work their full work shift on a number of occasions, including three days they were on vacation, and falsified their time records by claiming full shifts worked. DCFS indicated that the employee received a 10-day suspension without pay.

Case Number: 202017292 – Other Improprieties

A DCFS employee failed to immediately report to their supervisor that they were arrested for driving under the influence of alcohol. DCFS indicated that disciplinary action is pending.

Case Number: 202017360 – Time Abuse

A DCFS employee failed to properly perform their job duties, i.e., did not complete all monthly visits as required, did not conduct private discussions with DCFS clients, and did not complete other required client meetings. The employee also falsely documented in CWS/CMS that they conducted in-person client visits. Further, the employee abused their work schedule by working outside of scheduled shift times without approval and submitted inaccurate timecards, including reporting full shifts worked when there was no evidence (i.e., computer log in, CSW/CMS system access) that work was performed. DCFS indicated that disciplinary action is pending.

Case Number: 202017526 – Other Improprieties

A DCFS employee displayed unprofessional behavior by posting disparaging and/or hostile comments on social media, and breached confidentiality by disclosing non-public information concerning DCFS clients and an administrative investigation on social media. DCFS indicated that the employee received a 10-day suspension with Education Based Discipline.

Case Number: 202117637 – Other Improprieties

A DCFS employee failed to provide services to their clients, failed to complete periodic client contacts as required, and failed to ensure a client received proper medical and dental care. In addition, the employee falsified their mileage claims by claiming mileage for client visits that they did not conduct, and falsified service logs and court reports. Further, the employee displayed unprofessional behavior toward a client and made

Summary of Substantiated Cases

unauthorized contacts with a client without a County business need. DCFS indicated that the employee resigned, and a "Top of File⁴" was placed in the employee's personnel file.

Fraud Hotline Cases Open Over One Year:	0
--	----------

⁴ A Top of File memo documents information relevant to the employee's work history (i.e., including misconduct that occurred prior to the employee's resignation). The memo is placed at the top of the employee's personnel file so that anyone who reviews the file will be immediately aware of the information contained in the memo.

Summary of Substantiated Cases

Department of Health Services (DHS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
15 ⁵	33	4	52

Substantiated Cases:

Primary Allegation Types	No.
Other Improprieties	6
Personnel Issues	1
Time Abuse	5
Misuse of County Resources	3
Total:	15

High Risk / Impact Case Summaries

Case Number: 201712904 – Personnel Issues

A DHS manager inappropriately reassigned an employee to a position for which they did not demonstrate the required skills and aptitude. Further, a second employee also inappropriately reassigned another employee to a position for which they did not demonstrate the required skills and aptitude, and for which there was an active eligible list. DHS indicated that disciplinary actions are pending.

Case Number: 201915161 – Other Improprieties

A DHS agreement vendor improperly provided commodities when their agreement was exclusively for services and submitted inaccurate quotes and invoices that combined the costs of the commodities with services. Additionally, DHS employees failed to properly review the vendor agreement terms, allowed the vendor to provide the commodities, and approved purchase orders that exceeded the dollar limit per their agreement. DHS indicated that disciplinary action is pending.

Case Number: 201916520 – Misuse of County Resources

A DHS employee used their assigned County computer and Internet to access sexually explicit content and used their personal electronic devices to watch videos during County work hours. A second employee used their assigned County computer and Internet access to view non-County business related websites. DHS indicated that disciplinary actions for both employees are pending.

Case Number: 202016984 – Time Abuse

Twelve (12) current and one former DHS employee engaged in various types of misconduct, including recording inaccurate time entries in the Department's electronic daily activity record system for face-to-face interactions with patients, failing to follow management instructions for recording the entries, inaccurately reporting their arrival and/or departure times from work, improperly including lunch breaks as time worked, using County information technology resources to view non-County business and/or

⁵ One case was investigated by DHR.

Summary of Substantiated Cases

unauthorized websites and for personal business during work hours. Further, a supervisor and manager failed to provide job instructions to staff. DHS indicated that one employee transferred to a different department and disciplinary actions are pending concerning the 12 employees, the supervisor, and the manager.

Case Number: 202017109 – Other Improprieties

A DHS employee improperly disposed of official records requests without responding to or processing them, failed to log the requests in the request management system and/or patient charts, and failed to ensure all payments received via mail were stored securely and deposited. DHS indicated that disciplinary action is pending.

Case Number: 202017339 – Other Improprieties

A DHS employee inaccurately/falsefully documented in medical records the date that they saw a patient and failed to follow their supervisor's instructions. DHS indicated that disciplinary action is pending.

Fraud Hotline Cases Open Over One Year:	51
--	-----------

Summary of Substantiated Cases

Department of Mental Health (DMH)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
9	1	8	18

Substantiated Cases:

Primary Allegation Types	No.
Other Improprieties	3
Time Abuse	4
Contractor Improprieties	1
Misuse of County Resources	1
Total:	9

High Risk / Impact Case Summaries

Case Number: 201814154 – Contractor Improprieties

A DMH contractor submitted falsified billing documents to the Department (i.e., documents signed by someone other than the person who provided the services). DMH indicated that they disallowed the billings, and the contractor agreed to reimburse the Department. The Office of County Investigations (OCI) performed additional follow-up and did not find evidence to support a referral for criminal prosecution.

Case Number: 201814963 and 201814964 – Time Abuse

Two DMH employees misused leave time covered under the Family and Medical Leave Act (FMLA) to complete school-related internship hours. In addition, the employees' supervisor approved their timecards despite being aware that they used FMLA-covered time to complete internship hours. Both employees also used their assigned County computers to complete schoolwork during work hours. DMH indicated that disciplinary actions are pending for both employees and the supervisor.

Case Number: 202017366 – Other Improprieties

A DMH employee falsified client service entries in the Integrated Behavioral Health Information System by billing for significantly more service time than was provided. DMH indicated that disciplinary action is pending.

Case Number: 202017531 – Time Abuse

A DMH employee who was deployed as a Disaster Services Worker (DSW) recorded full shifts worked on their timecards for days they did not work, failed to notify their Departmental supervisor of their absences, made false statements when DMH managers inquired about their DSW work schedule, failed to notify DMH management when their DSW term ended, and falsified their timecards and activity logs for teleworking and for DSW work. DMH indicated that disciplinary action is pending.

Fraud Hotline Cases Open Over One Year:	78
--	-----------

Summary of Substantiated Cases

Department of Military and Veterans Affairs

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1 ⁶	0	0	1

Substantiated Cases:

Primary Allegation Types	No.
Other Improprieties	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	3
--	----------

⁶ This case was investigated by DHR.

Summary of Substantiated Cases

Parks

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1 ⁷	2	0	3

Substantiated Cases:

Primary Allegation Types	No.
Personnel Issues	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 201916102 – Personnel Issues

A Parks manager improperly placed an employee in an acting manager position even though the employee's application for the position was rejected due to lack of qualifications. The manager also failed to consider qualified applicants and left the position vacant so that the employee could remain in an acting role and gain the qualifying experience. In addition, the employee improperly received an out-of-class bonus during periods when there was an active eligible list for the position. Parks indicated that after discussion with County Counsel, they do not plan to recover the bonus. Parks also indicated that disciplinary and corrective actions are pending.

Fraud Hotline Cases Open Over One Year:	1
--	----------

⁷ This case was investigated by DHR.

Summary of Substantiated Cases

Probation Department

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	4	13	19

Substantiated Cases:

Primary Allegation Types	No.
Misuse of County Resources	1
Time Abuse	1
Total:	2

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	2
--	----------

Summary of Substantiated Cases

Public Defender

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Time Abuse	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	3
--	----------

Summary of Substantiated Cases

Department of Public Health

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3 ⁸	8	6	17

Substantiated Cases:

Primary Allegation Type(s)	No.
Misuse of County Resources	1
Other Improprieties	1
Time abuse	1
Total:	3

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
--	----------

⁸ One substantiated case was investigated by DHR.

Summary of Substantiated Cases

Department of Public Social Services (DPSS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
25	27	1	53

Substantiated Cases:

Primary Allegation Types	No.
Other Improprieties	20
Personnel Issues	4
Misuse of County Resources	1
Total:	25

High Risk / Impact Case Summaries

Case Number: 201916512 – Other Improprieties

A DPSS employee falsified entries in the Case Management Information and Payroll System (CMIPS II) for six In-Home Supportive Services (IHSS) recipients by reporting that they conducted reassessment home visits when they had not. The employee also failed to report deviations in their approved field itineraries and telework plans and failed to appropriately revise these forms. DPSS indicated that disciplinary action is pending.

Case Number: 202017071 – Misuse of County Resources

Three DPSS employees used their County e-mail accounts to send non-County business related e-mails to each other, some of which contained inappropriate and/or sexually suggestive language. DPSS indicated that disciplinary actions are pending.

Case Number: 202017096 – Other Improprieties

A DPSS employee inappropriately used their County e-mail account to transmit e-mails to/from their personal e-mail account, some of which contained confidential information, and inappropriately accessed another employee's confidential personnel information. The employee also improperly accessed the CMIPS II system to view confidential IHSS recipients' records without authorization or a legitimate County business need and shared this information with another County department without authorization. Further, the employee displayed unprofessional behavior toward a co-worker, and failed to comply with Departmental policies. A second employee also improperly accessed confidential IHSS case records without authorization or a legitimate County business need. DPSS indicated that disciplinary actions are pending.

Case Number: 202017739 – Other Improprieties

A DPSS employee inappropriately accessed confidential case information in the LEADER Replacement System (LRS) for a family member who was a DPSS client. The employee also issued an electronic benefits card to the family member after their case was closed and failed to disclose that their family member was a DPSS client. DPSS indicated that disciplinary action is pending.

Summary of Substantiated Cases

Case Number: Various – Other Improprieties

DPSS investigated 12 separate cases involving different employees who improperly accessed the California Statewide Automated Welfare System, LRS, and/or CMIPS II to view personal and confidential information in participants' and/or their own case records without authorization or a County business reason. In four of these cases, the employees also failed to report that the participants lived with them while receiving aid, and in one of those four cases, the employee failed to report that they were in a relationship with the participant. DPSS indicated that three employees received 10-day suspensions without pay, four employees were either reprimanded or counseled, two employees retired, and disciplinary actions are pending for the other three employees.

Case Number: Various – Other Improprieties

DPSS investigated two separate cases involving three different employees who submitted a total of 71 medical certifications that were either falsified or could not be validated, to excuse their absences from work. DPSS indicated that disciplinary actions are pending.

Fraud Hotline Cases Open Over One Year:	6
--	----------

Summary of Substantiated Cases

DPW

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	4	0	7

Substantiated Cases:

Primary Allegation Type(s)	No.
Other Improprieties	1
Theft	1
Personnel Issues	1
Total:	3

High Risk / Impact Case Summaries

Case Number: 202017570 – Theft

An unknown perpetrator(s) broke into a DPW Yard and stole catalytic converters from six County vehicles. The Yard did not have a security alarm or cameras. The theft was reported to the Sheriff's Department, which declined to investigate due to lack of workable leads. OCI investigated two prior thefts from DPW Yards and recommended that the Department improve physical security measures where high-value assets are located. DPW indicated the Department is conducting a security assessment of facilities where high-value assets and commodities are stored and will develop a risk-based plan to implement appropriate security measures to minimize future thefts/losses.

Fraud Hotline Cases Open Over One Year:	0
--	----------

Summary of Substantiated Cases

Department of Regional Planning (DRP)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	4	0	6

Substantiated Cases:

Primary Allegation Types	No.
Other Improprieties	1
Time Abuse	1
Total:	2

High Risk / Impact Case Summaries

Case Number: 201814866 – Other Improprieties

A DRP employee improperly used their access to a County database to view public land records without a County business need while on sick leave and conducted outside employment activities during County work hours. DRP indicated that the employee resigned.

Fraud Hotline Cases Open Over One Year:	0
--	----------

Summary of Substantiated Cases

RR/CC

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	4	5	11

Substantiated Cases:

Primary Allegation Type(s)	No.
Misuse of County Resources	1
Other Improprieties	1
Total:	2

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
--	----------

Summary of Substantiated Cases

Sheriff's Department (Sheriff)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
9	22	7	38

Substantiated Cases:

Primary Allegation Type(s)	No.
Personnel Issues	5
Other Improprieties	3
Time Abuse	1
Total:	9

High Risk / Impact Case Summaries

Case Number: 201814055 – Personnel Issues

A Sheriff civilian employee submitted falsified/misrepresented information concerning their education and work experience for two County job applications. The Sheriff indicated the employee received a 15-day suspension without pay.

Fraud Hotline Cases Open Over One Year:	8
--	----------



LOS ANGELES COUNTY FRAUD HOTLINE
Resolved and Pending Disciplinary/Corrective Actions
From Previous Semi-Annual Reports
As of June 30, 2021

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding (1)
Assessor	0	2	
		201814157	1000
		201916283	314
Board of Supervisors	0	1	
		201814080	973
Children and Family Services	11	12	
	201814930	201712608 (2) (3)	1241
	201915098	201915177	426
	201915242	201915880 (3)	476
	201915685	201915888 (3)	489
	201916040	201916147	495
	201916139	201916207	476
	201916251	201916437	461
	201916313	201916541	497
	202016623	202016998	271
	201915689	202017078	246
	202016749	202017219	251
		202017245	196
Fire	0	2	
		201814015 (3)	953
		201915988	576
Health Services	6	17	
	201713716	201712726 (3)	1093
	201814149	201814941 (3)	716
	201915303	201915180 (3)	378
	201915572	201915398	376
	201915588	201915504	384
	201915973	201915611	378
		201915850	489
		201915853	182
		201915896 (3)	383
		201915993	189
		201916089 (3)	376
		201916204	373
		201916257	412
		201916286	295
		201916420 (3)	373
		202016893 (3)	373
		202016910 (3)	370

(1) This data is reported by departments to OCI. Per protocols issued by the Department of Human Resources (DHR), each department's management is responsible for prioritizing outstanding cases based on the level of discipline. OCI is not responsible for evaluating the cause or reasonableness of the delays. OCI's role is to follow-up with departments for a status of pending discipline for inclusion in this report.

(2) Case Investigated by OCI.

(3) Discipline is pending due to the employee(s)' leave of absence. DHR has issued protocols to assist departments with managing the disciplinary process with employees on leave. DHR has also recommended that each department consult with DHR and County Counsel on cases that involve discipline and employee leaves to determine the appropriate administrative actions to resolve such cases timely.



LOS ANGELES COUNTY FRAUD HOTLINE
Resolved and Pending Disciplinary/Corrective Actions
From Previous Semi-Annual Reports
As of June 30, 2021

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding (1)
Internal Services	0	1	
		201915205	379
Mental Health	9	7	
	201611522	201713528	897
	201612408	201713708	575
	201713395	201713728	435
	201713743	201713732	365
	201814447	201915019	370
	201712818	201915051	308
	201813969	201916304	268
	201612426		
	201916159		
Military and Veterans Affairs	0	1	
		201915047 (2)	280
Parks	1	4	
	201915690	201713312	456
		201814935	758
		201915793	209
		201916400	209
Probation	0	1	
		201915926	583
Public Health	1	0	
	201915535		
Public Social Services	2	3	
	201916211	201814665	734
	202016686 (2)	201916084	210
		202016498	337
Public Works	2	0	
	201915886		
	202016619		
Workforce Development, Aging and Community Services	0	2	
		201915470 (2)	426
		201915964	561
Departments Total:	32	28	

(1) This data is reported by departments to OCl. Per protocols issued by the Department of Human Resources (DHR), each department's management is responsible for prioritizing outstanding cases based on the level of discipline. OCl is not responsible for evaluating the cause or reasonableness of the delays. OCl's role is to follow-up with departments for a status of pending discipline for inclusion in this report.

(2) Case Investigated by OCl.



LOS ANGELES COUNTY FRAUD HOTLINE
Cases Actively Under Investigation
Open Over One Year, By Department
As of July 2, 2021

Department	Cases *
Auditor-Controller	2
Chief Executive Office	2
Consumer and Business Affairs	8
County Counsel	4
District Attorney	1
Fire	12
Health Services	51
Human Resources (Countywide)	5
Internal Services	36
LA County Library	4
Los Angeles County Employees Retirement Association	11
Los Angeles Homeless Services Authority	4
Mental Health	78
Military and Veterans Affairs	3
Museum of Art	1
Parks and Recreation	1
Probation	2
Public Defender	3
Public Social Services	6
Sheriff	8
Workforce Development, Aging and Community Services	18

***Total Number of Cases Outstanding Over One Year* 260**

* **NOTE:** These represent cases referred to County departments by the Office of County Investigations as the nature of the allegations are such that they are more appropriately reviewed by the department.