



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER**

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April 30, 2021

TO: Supervisor Hilda L. Solis, Chair  
Supervisor Holly J. Mitchell  
Supervisor Sheila Kuehl  
Supervisor Janice Hahn  
Supervisor Kathryn Barger

FROM: Arlene Barrera *Arlene Barrera*  
Auditor-Controller *Barrera*

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JULY 1, 2020 THROUGH  
DECEMBER 31, 2020**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County (County) Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section (§) 53087.6. Pursuant to California Penal Code § 830.13 and Board of Supervisors Policy 9.040, OCI investigates or refers out for investigation alleged criminal and administrative misconduct within County government. OCI also tracks and compiles the results of Hotline investigations referred to and conducted by other County departments to ensure the allegations are properly investigated.

This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between July 1 and December 31, 2020, and also provides statistical summaries of case turnover and disciplinary and/or corrective actions imposed during the current and prior periods.

## Investigative Results

### Investigative Activity

- 511 Cases Completed Countywide
  - 90 **Substantiated** (17.6%)
- 485 New Cases Reported (1.3% **increase** from prior period)
- 869 Cases Under Active Investigation (2.9% **decrease** from prior period)

During this period, we continued to observe an impact on the nature of allegations reported to the Hotline attributable to effects of the COVID-19 pandemic, the Safer at Home order, and continued teleworking throughout the County. For example, we noted that time abuse allegations decreased by approximately 28% from the prior period, and workplace-related allegations decreased by 10%. At the same time, reports involving procurement-related improprieties and computer misuse were more common.

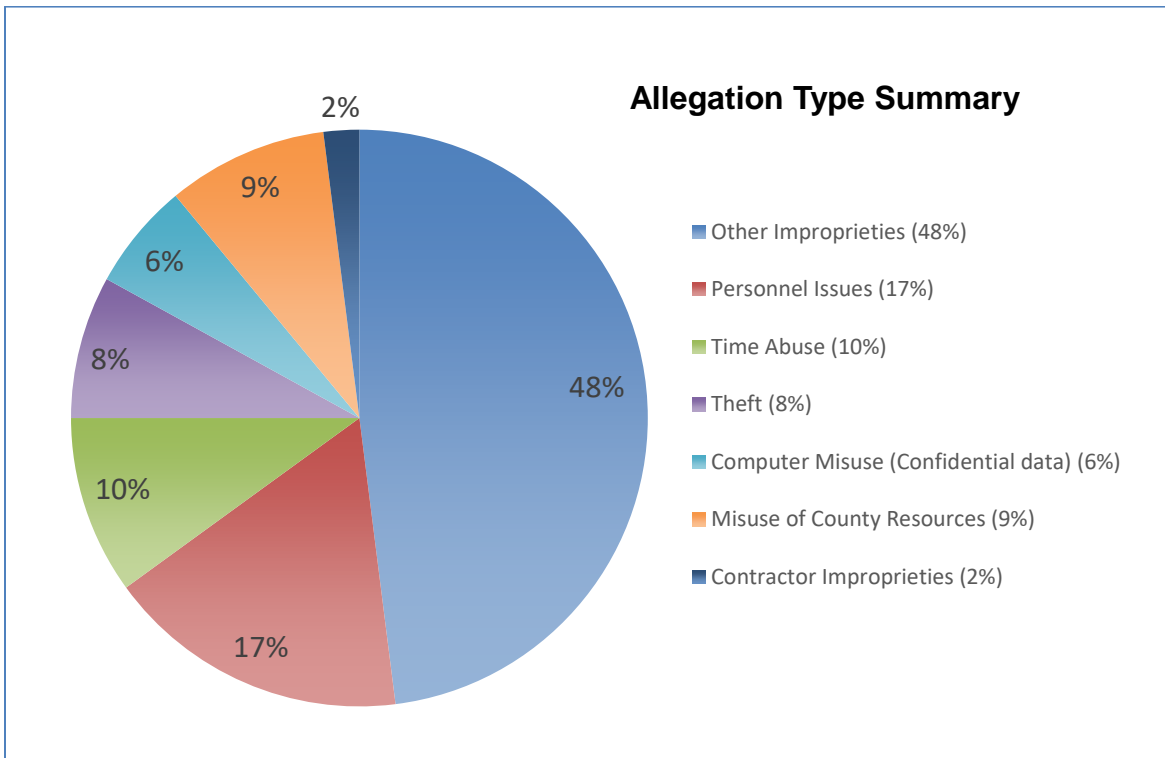
The following chart summarizes Hotline case investigative activity for the current period, which ended with **869 open cases** under investigation.

#### Comparison of Current Case Counts with the Prior Reporting Period

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	6/30/2020	12/31/2020	% Increase (Decrease)
Beginning Caseload	1,052	895	(14.9)%
- Cases Closed	(636)	(511)	(19.7)%
+ New Cases Opened	479	485	1.3%
<b>Ending Caseload</b>	<b>895</b>	<b>869</b>	<b>(2.9)%</b>

We also dispositioned 211 other cases (categorized as Not Investigated on Attachment I) involving issues that either were not jurisdictional to OCI (which were referred to other agencies, as appropriate), or did not include sufficiently detailed information that would allow us to conduct a meaningful investigation.

## Allegation Types – Substantiated Cases



- The **Other Improprieties** category includes the following most commonly substantiated subcategories:
  - Violations of County or departmental policies and/or procedures (26%)
  - Misuse of confidential data (19%)
  - Falsification of medical certificates (16%)
  - Failure to perform job duties (5%)
- The **Personnel Issues** category includes the following most commonly substantiated subcategories:
  - Falsification of time records (67%)
  - Various forms of workplace-related misconduct (20%)
  - Conflict of interest (13%)

## Disciplinary and/or Corrective Actions

- Of the 90 cases substantiated during this reporting period, departments reported that they finalized and imposed 73 separate disciplinary actions. In addition, for the 18 cases closed in prior periods where discipline/corrective actions were resolved in the current period, 19 separate disciplinary actions were finalized. The number of separate disciplinary actions may exceed the number of closed cases because some cases involve more than one subject and/or corrective/disciplinary action taken.

- For all actions finalized, **approximately 55%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.<sup>1</sup>

ACTION(S) TAKEN	Current Period 7/1/20 to 12/31/20	Prior Periods	Total	%
<b>Significant Disciplinary Action</b>				
Discharged	4	2	6	6%
Resigned/Retired/Resigned in Lieu of Discharge	10	4	14	15%
Notice in Personnel Folder (Do Not Rehire or other restrictions)	10	3	13	14%
Suspended - 15 to 30 Days	4	4	8	9%
Suspended - Less than 15 Days	9	1	10	11%
<b>Subtotal</b>	<b>37</b>	<b>14</b>	<b>51</b>	<b>55%</b>
<b>Moderate Corrective Action</b>				
Counseled, NOE, Procedures Changed/Reinforced, Training, Reprimanded/Warning, etc.	36	5	41	45%
<b>TOTAL ACTIONS TAKEN:</b>	<b>73</b>	<b>19</b>	<b>92</b>	<b>100%</b>

### Case Turnover

- The number of cases **closed** during the period **decreased by approximately 20%** over the prior 6-month period.
- Also, the number of cases **open more than one year** decreased by **approximately 4%** since the prior reporting period.

### Prosecutions and Criminal Convictions

- Investigative efforts by OCI in partnership with other local law enforcement entities resulted in the Los Angeles District Attorney's Office accepting for prosecution three (3) new cases for which we found evidence that the subjects committed crimes against the County.
- In addition, another 26 cases remain in progress with various prosecutorial agencies.

<sup>1</sup> Disciplinary actions reported accurately as of the date of this report. All administrative actions may be appealed, and thus may later change as a result of civil service processes.

**Open Cases Referred for Prosecution –  
District Attorney and Other Agencies**

<b>Status</b>	<b>Number of Cases</b>
Beginning Caseload	25
+ New Cases Referred	5
- Cases Adjudicated:	
• Convicted/Sentenced	(2)
• Not Prosecuted	(2)
<b>Ending Caseload</b>	<b>26</b>

***Index of Attachments***

**Attachment I** – Closed Case Summary: Substantiated, not substantiated, and cases not investigated, by department.

**Attachment II** – Substantiated case narratives, by investigating department.

**Attachment III** – Disciplinary/correction action(s) resolved and pending, by department and case number.

**Attachment IV** – Active investigations open over one year, by department.

We thank Departmental management and staff for their assistance and cooperation during our Hotline case investigation and tracking process. Please call me if you have any questions, or your staff may contact Robert Campbell at (213) 893-0058 or [rcampbell@auditor.lacounty.gov](mailto:rcampbell@auditor.lacounty.gov).

AB:OV:PH:RGC:AMS:cdr  
Fraud Hotline Status ending 12/31/2020

Attachments

c: Fesia A. Davenport, Chief Executive Officer  
Celia Zavala, Executive Officer, Board of Supervisors  
Department Heads  
Audit Committee  
Countywide Communications



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE  
CLOSED CASE SUMMARY  
July 1, 2020 through December 31, 2020**

	<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated *</i>	<i>Totals</i>				
<b><u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u></b>								
Office of County Investigations	14	11	146	171	33.5%			
<b><u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u></b>								
Alternate Public Defender	1	1	1	3	0.6%			
Animal Care and Control	1	2	0	3	0.6%			
Assessor	3	13	1	17	3.3%			
Board of Supervisors	1	1	1	3	0.6%			
Chief Executive Office	0	4	0	4	0.8%			
Child Support Services	1	3	0	4	0.8%			
Children and Family Services	8	30	12	50	9.8%			
District Attorney	1	3	0	4	0.8%			
Fire	1	5	1	7	1.4%			
Health Services	20	33	9	62	12.1%			
Human Resources	0	0	1	1	0.2%			
Human Resources (Countywide)	2	20	6	28	5.5%			
Los Angeles Homeless Services Authority	0	1	2	3	0.6%			
Mental Health	3	3	8	14	2.7%			
Parks and Recreation	4	5	1	10	2.0%			
Probation	1	2	0	3	0.6%			
Public Defender	3	4	2	9	1.7%			
Public Health	2	15	13	30	5.9%			
Public Social Services	19	39	4	62	12.1%			
Public Works	3	5	0	8	1.5%			
Regional Planning	0	2	0	2	0.4%			
Registrar-Recorder/County Clerk	1	4	3	8	1.5%			
Sheriff	1	4	0	5	1.0%			
Departments Total:	<b>76</b>	<b>199</b>	<b>65</b>	<b>340</b>	<b>66.5%</b>			
<b>Grand Total</b>	<b>90</b>	<b>17.6%</b>	<b>210</b>	<b>41.1%</b>	<b>211</b>	<b>41.3%</b>	<b>511</b>	<b>100%</b>

\* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 9 of 209 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE**

**SUMMARY OF SUBSTANTIATED CASES**

*FOR THE PERIOD JULY 1, 2020 THROUGH DECEMBER 31, 2020*

**SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER**

**Office of the Assessor (Assessor)**

**Case Number: 201916283**

An Assessor employee misused his assigned County computer to store and install software programs without Department authorization. The employee admitted to installing two unlicensed and/or illicitly obtained software programs, storing 185 sexually explicit photos on his computer, and using his computer for school and outside employment activities. The Department indicated that the employee resigned.

**Countywide**

**Case Number: Various**

The Office of County Investigations (OCI) investigated four cases involving eight total incidents of fraudulent claims for uncashed County warrants, fraudulent direct deposit authorization forms, and/or counterfeit or fraudulently negotiated County warrants. Our investigations positively identified suspects for two of the cases, both of which were referred to the Los Angeles District Attorney (LADA) for prosecution.

**Department of Health Services (DHS)**

**Case Number: 201916063**

A DHS employee allowed two subordinates to claim regular work time and overtime that they did not actually work on their timecards, and inappropriately approved timecards that they knew or should have known were inaccurate. As a result, the two employees were paid a total of approximately \$17,000 for time they did not work. The executive also failed to report his/her outside employment for a three-year period and exceeded the 24 hours per week limit on outside employment. OCI recommended that DHS recover the overpayments, and DHS indicated that disciplinary actions are pending.

**Case Number: 201916145**

An employee of a DHS contractor stole 50 new computers from a DHS facility and sold 30 of the computers on eBay. The remaining 20 computers were recovered when we served a search warrant on the contractor employee's residence. OCI referred the case to the LADA for prosecution.

**Department of Mental Health (DMH)**

**Case Number: 201713069**

Executives of a DMH contractor (Agency) misused Agency funds to pay for personal expenses and submitted unallowable claims to DMH totaling \$72,465. The Agency's executives and/or staff also disguised and/or hid unallowable expenses to prevent DMH from identifying them. OCI recommended that DMH pursue repayment of the improper claims and referred the case to the LADA for prosecution.

## Summary of Substantiated Cases

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### **Department of Military and Veterans Affairs (MVA)**

#### **Case Number: 201915047**

MVA management did not properly document events at a Department facility and allowed event activities that violated Departmental guidelines. An MVA employee inappropriately hosted a private event on County property, served alcohol at the event, and used County resources for his outside employment. MVA indicated that disciplinary actions are pending.

### **Department of Public Health (DPH)**

#### **Case Number: 201814571**

Executives of a DPH contractor (Agency) made questionable cash withdrawals and transferred Agency funds to themselves without appropriate documentation to support the transactions. Due to the lack of Agency accounting records, investigators were unable to determine if the executives inappropriately claimed or received reimbursement for non-County related expenditures. The Agency remains on the County's Contractor Alert Reporting Database (CARD), and DPH and the Auditor-Controller's Countywide Contract Monitoring Division continue to monitor the Agency's contract compliance (the agency still has two contracts with DPH).

### **Department of Public Social Services (DPSS)**

#### **Case Number: 202016686**

A DPSS supervisor installed a video camera and recorded video in a subordinate's office cubicle and in a conference room without the knowledge and consent of the subordinate or facility management. DPSS indicated that disciplinary action is pending.

### **Department of Public Works (DPW)**

#### **Case Number: 201915040**

An unknown perpetrator stole a mobile generator from a DPW yard. The generator was later recovered and returned to DPW. DPW indicated that it will revise procedures for storing portable generators and will implement additional security measures (e.g., wheel locks, Global Positioning System tracking devices).

#### **Case Number: 202017226**

An unknown perpetrator broke into a DPW yard and stole more than 7,000 gallons of diesel fuel valued at \$16,389, and 41 tires valued at \$10,211. The break-in was also reported to the Los Angeles Police Department (LAPD), with whom we coordinated our investigation. The LAPD identified a potential suspect and indicated they plan to refer the case to the LADA for prosecution.

#### **Case Number: 202017047**

A DPW manager intentionally submitted an inflated/fraudulent lodging receipt and travel expense claim for \$688 when the actual expenses he incurred was \$335. The manager admitted creating a false receipt to support the claim but denied intentionally inflating the costs. DPW indicated that disciplinary action is pending, and the case was referred to the LADA.

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**TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 14**



## Summary of Substantiated Cases

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### CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

#### Alternate Public Defender

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	1	3

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Computer Misuse	1
<b>Total:</b>	<b>1</b>

#### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Department of Animal Care and Control (ACC)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	2	0	3

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Computer Misuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

**Case Number: 201814758** – Computer Misuse

An ACC employee inappropriately used County equipment (i.e., a web camera and a computer) for non-County business purposes. ACC indicated that the employee received a 15-day suspension without pay.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>3</b>
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## Summary of Substantiated Cases

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### Assessor

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	13	1	17

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Computer Misuse	1
Personnel Issues	1
Time Abuse	1
<b>Total:</b>	<b>3</b>

### High Risk / Impact Case Summaries

**Case Number: 201916088** – Computer Misuse

An Assessor employee used his assigned County computer and Internet access to download sexually explicit content onto the Assessor's network. The Assessor indicated the employee resigned after being given a Letter of Intent to Discharge.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>2</b>
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## Summary of Substantiated Cases

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### Board of Supervisors

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	1	3

#### Substantiated Cases:

Primary Allegation Type(s)	No.
Time Abuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Child Support Services Department

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	3	0	4

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Department of Children and Family Services (DCFS)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
8	30	12	50

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	4
Time Abuse	2
Misuse of County Resources	1
Personnel Issues	1
<b>Total:</b>	<b>8</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 202016834** – Personnel Issues

A DCFS employee improperly misused their County-provided access to a confidential database to search for information about a private individual without authorization or a legitimate County business need. DCFS indicated that disciplinary action is pending.

**Case Number: 202016998** – Other Improprieties

A DCFS employee engaged in unacceptable off duty behavior on multiple occasions, failed to report that he had been arrested twice and charged with a crime, used his assigned County cell phone for non-business purposes, and failed to cooperate during an administrative investigation. DCFS indicated that disciplinary action is pending.

**Case Number: 202017137** – Time Abuse

A DCFS employee failed to complete monthly home visits with clients, did not meet with children individually as required, and did not properly document case contacts in the Child Welfare System/Case Management System. DCFS indicated that disciplinary action is pending.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### District Attorney

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	3	0	4

#### Substantiated Cases:

Primary Allegation Types	No.
Time Abuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>4</b>
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## Summary of Substantiated Cases

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### Fire Department (Fire)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	5	1	7

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

#### **Case Number: 202016970** – Other Improprieties

Fire managers violated Departmental policies concerning paramedic bonuses, and paramedic bonuses were paid without proper documentation. Fire indicated that the bonuses were issued due to staffing needs, and the Department did not recover the improper payments. Fire indicated that station managers were coached and counseled, paramedic post position bonuses will only be assigned as a last resort with Battalion approval, and the involved station's staff timecards will be audited bi-monthly to ensure that station personnel adhere to the rules/regulations and corrective actions implemented.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>7</b>
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## Summary of Substantiated Cases

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### DHS

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
21 <sup>1</sup>	33	9	63

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Other Improprieties	14
Personnel Issues	3
Time Abuse	3
Misuse of County Resources	1
Total:	<b>21</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201915249** – Other Improprieties

A DHS manager violated nepotism policies by improperly hiring another DHS employee's spouse. The manager did not interview any other candidates for the position, and improperly placed the newly-hired employee in her spouse's chain of command. DHS indicated that disciplinary actions are pending.

**Case Number: 201915589** – Other Improprieties

A DHS employee attended a professional education program while on medical leave. DHS indicated that the employee received a 30-day suspension without pay.

**Case Number: 202016910** – Other Improprieties

A DHS employee used their personal cell phone to record videos in their County work area without authorization and posted the videos to a social media website. The employee also used obscene and/or inappropriate language in the video. DHS indicated that disciplinary action is pending.

**Case Number: 202017006** – Other Improprieties

A DHS employee failed to follow a Departmental procedure for administering medication to a patient and falsified documentation that they performed their job duties when they did not. DHS indicated that the employee received a 10-day suspension without pay.

**Case Number: 202017027** – Other Improprieties

A DHS employee failed to accurately report time spent treating patients, and failed to exercise good judgement and attend to her work duties. DHS indicated the employee was discharged and a "Top of File" notice was placed in the employee's personnel file.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>47</b>
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<sup>1</sup> One substantiated case was investigated by the Department of Human Resources (DHR).

## Summary of Substantiated Cases

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### Medical Examiner-Coroner Department (Coroner)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1 <sup>2</sup>	0	0	1

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Personnel Issues	1
<b>Total:</b>	<b>1</b>

#### **High Risk / Impact Case Summaries**

##### **Case Number: 201814746** – Personnel Issues

A Coroner manager stored confidential and sensitive files, several of which contained employees' personally identifiable information (PII), in an electronic folder that was accessible to others who did not have a business need to view the documents. The folder was accessed by unknown individuals who inappropriately shared some of the confidential documents without authorization. The Coroner indicated that they notified each of the individuals whose PII was accessible in accordance with privacy policies, and disciplinary action is pending against the manager.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>1</b>
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<sup>2</sup> The substantiated case was investigated by DHR.

## Summary of Substantiated Cases

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### DMH

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	3	8	14

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Misuse of County Resources	2
Other Improprieties	1
<b>Total:</b>	<b>3</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201916304** – Abuse of County Resources

A DMH employee falsified client progress notes in the Integrated Behavior Health Information System and used his assigned County computer and Internet access to view non-County business related websites during work hours. DMH indicated that disciplinary action is pending.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>73</b>
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## Summary of Substantiated Cases

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### Department of Parks and Recreation (Parks)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
4	5	1	10

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Other Improprieties	2
Personnel Issues	1
Misuse of County Resources	1
<b>Total:</b>	<b>4</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201915793** – Other Improprieties

A Parks employee improperly charged and collected fees from program participants for t-shirts that were not required for the program. The employee also violated cash handling procedures by failing to issue receipts for or remit the money collected to the Department. Parks indicated that disciplinary action is pending.

**Case Number: 201916367** – Other Improprieties

A Parks employee inappropriately charged and collected additional fees from a sports league to enroll in Parks' programs. The employee also inappropriately reserved a Parks facility for his personal sports league without paying the required facility use fees. Parks indicated that the employee resigned and a "Top of File" notice was placed in the employee's personnel file.

**Case Number: 202017083** – Misuse of County Resources

A Parks employee used County equipment (i.e., a tree trimming truck) to conduct personal business during work hours. Parks indicated that the employee retired in lieu of discharge.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Probation Department (Probation)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	2	0	3

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

**Case Number: 20196060** – Other Improprieties

A Probation employee violated Departmental policy by visiting a minor's home on several occasions and socializing with the minor's family without authorization. Probation indicated that the employee resigned before being interviewed.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Public Defender (PD)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	4	2	9

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Misuse of County Resources	1
Other Improprieties	1
Personnel Issues	1
<b>Total:</b>	<b>3</b>

### High Risk / Impact Case Summaries

**Case Number: 201814047** – Personnel Issues

A PD employee threatened his supervisor with violence, abused work hours by routinely leaving work early for several months without recording variances on his timecards, and engaged in other misconduct in the workplace. PD indicated that the employee was discharged.

**Case Number: 201814525** – Other Improprieties

A PD employee fraudulently used a relative's handicapped placard to park in handicapped parking spaces in a government building parking lot. PD indicated that the employee received a 4-day suspension without pay.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>4</b>
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## Summary of Substantiated Cases

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### DPH

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	15	13	30

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Misuse of County Resources	1
Other Improprieties	1
<b>Total:</b>	<b>2</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 202016960** – Other Improprieties

A DPH employee failed to disclose a personal business interest on his Form 700 and Outside Employment forms and created a conflict of interest. DPH indicated that the employee was reminded of reporting policies and was transferred to another assignment.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>2</b>
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## Summary of Substantiated Cases

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### DPSS

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#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
19	39	4	62

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Other Improprieties	14
Personnel Issues	3
Computer Misuse	1
Misuse of County Resources	1
<b>Total:</b>	<b>19</b>

#### **High Risk / Impact Case Summaries**

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##### **Case Number: 201916084** – Personnel Issues

A DPSS employee falsified In-Home Supportive Services (IHSS) timesheets for her spouse who did not actually provide the IHSS care, resulting in a loss of \$1,459 to the Department. DPSS indicated the LADA filed charges of grand theft and issued arrest warrants for both the employee and her spouse. The employee also failed to notify management that a relative who lives with her is an IHSS recipient, and that she is the relative's authorized representative for their IHSS and public assistance cases. Further, the employee inappropriately obtained a higher public assistance benefit allowance than what her relative qualified for. DPSS indicated that disciplinary action is pending.

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##### **Case Number: 201916498** – Other Improprieties

A DPSS employee improperly accessed the LEADER Replacement System (LRS) to view personal and confidential information in several participants' case records without authorization or a legitimate County business need. In one case, the employee improperly assigned the participant's case to herself, approved public assistance benefits without an application, and issued and cancelled EBT cards to the participant without authorization. The employee also failed to report that a relative is a public assistance participant. DPSS indicated that disciplinary action is pending.

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##### **Case Number: Various** – Computer Misuse (Confidential data)

DPSS investigated five separate cases involving different employees who improperly accessed LRS or the Case Management Information and Payroll System to view personal and confidential information in participants' or providers case records without authorization or a County business reason. For one of these cases, the employee also failed to report that the participants lived with them while receiving aid. DPSS indicated that two employees received 10-day suspensions without pay and disciplinary action is pending for the other three employees.

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## Summary of Substantiated Cases

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**Case Number: Various** – Other Improprieties

DPSS investigated five separate cases involving different employees who falsified a total of 11 medical certifications to excuse their absences from work or to justify teleworking. DPSS indicated that two employees received 10-day suspensions without pay, one employee resigned, and disciplinary action is pending for the remaining two employees.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>7</b>
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## Summary of Substantiated Cases

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### DPW

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	5	0	8

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Personnel Issues	2
Other Improprieties	1
<b>Total:</b>	<b>3</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 202016948** – Personnel Issues

A DPW employee used Departmental intellectual property on his personal business website without proper authorization. DPW indicated the employee was counseled and the intellectual property has been removed from the website.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Register Recorder/County Clerk

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	4	3	8

#### Substantiated Cases:

Primary Allegation Type(s)	No.
Computer Misuse	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>3</b>
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## Summary of Substantiated Cases

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### Sheriff's Department

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	4	0	5

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Other Improprieties	1
<b>Total:</b>	<b>1</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>9</b>
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**LOS ANGELES COUNTY FRAUD HOTLINE**  
**Resolved and Pending Disciplinary/Corrective Actions**  
**From Previous Semi-Annual Reports**  
**As of December 31, 2020**

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding
<b>Assessor</b>	<b>0</b>	<b>1</b>	
		201814157	819
<b>Board of Supervisors</b>	<b>0</b>	<b>1</b>	
		201814080	792
<b>Children and Family</b>	<b>10</b>	<b>19</b>	
	201510494	201712608 (1)	1060
	201713549	201814930	689
	201814013	201915098	659
	201814455	201915177	245
	201915190	201915242	464
	201915493	201915685	274
	201915648	201915689	457
	201915812	201915880	295
	201915827	201915888	308
	201915829	201916040	303
		201916139	295
		201916147	314
		201916207	295
		201916251	211
		201916313	317
		201916437	280
		201916541	316
		202016623	232
		202016749	209
<b>Fire</b>	<b>0</b>	<b>2</b>	
		201814015	772
		201915988	395
<b>Health Services</b>	<b>0</b>	<b>18</b>	
		201712726	912
		201713716	961
		201814149	532
		201814941	535
		201915303	197
		201915398	195
		201915504	203
		201915572	192
		201915588	197



**LOS ANGELES COUNTY FRAUD HOTLINE**  
**Resolved and Pending Disciplinary/Corrective Actions**  
**From Previous Semi-Annual Reports**  
**As of December 31, 2020**

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding
<b>Health Services (Cont.)</b>		201915850	308
		201915896	202
		201915973	192
		201916089	195
		201916204	192
		201916257	231
		201916420	192
		202016893	192
		201915180	197
<b>Internal Services</b>	<b>0</b>	<b>1</b>	
		201915205	198
<b>Mental Health</b>	<b>2</b>	<b>14</b>	
	201713065	201611522	1143
	201814052	201612408	1178
		201612426	1169
		201712818	449
		201713395	1106
		201713528	716
		201713708	394
		201713728	254
		201713732	184
		201713743	275
		201813969	608
		201814447	75
		201915019	189
		201916159	448
<b>Parks</b>	<b>0</b>	<b>3</b>	
		201713312	275
		201814935	577
		201915690	330
<b>Probation</b>	<b>1</b>	<b>1</b>	
	201814273	201915926	402
<b>Public Defender</b>	<b>1</b>	<b>0</b>	
	201511124 (1)		1718
<b>Public Health</b>	<b>2</b>	<b>1</b>	
	201915213	201915535	223
	201814655		



**LOS ANGELES COUNTY FRAUD HOTLINE  
Resolved and Pending Disciplinary/Corrective Actions  
From Previous Semi-Annual Reports  
As of December 31, 2020**

<b>Department</b>	<i>Resolved Cases - Discipline/Corrective Action Taken</i>	<i>Pending Cases - Discipline/Corrective Action</i>	<i>Number of Days Outstanding</i>
<b>Public Social Services</b>	<b>2</b>	<b>2</b>	
	201814053	201814665	553
	201915581	201916211	322
<b>Public Works</b>	<b>0</b>	<b>2</b>	
		202016619	294
		201915886	184
<b>Workforce Development, Aging and Community</b>	<b>0</b>	<b>2</b>	
		201915470	245
		201915964	380
<b>Departments Total:</b>	<b>18</b>	<b>67</b>	

(1) Case Investigated by OCI.



**LOS ANGELES COUNTY FRAUD HOTLINE**  
**Cases Actively Under Investigation**  
**Open Over One Year, By Department**  
**As of January 27, 2021**

Department	Cases *
Animal Care and Control	3
Assessor	2
Auditor-Controller	1
Chief Executive Office	1
Consumer and Business Affairs	6
County Counsel	3
District Attorney	4
Fire	7
Health Services	47
Human Resources (Countywide)	20
Internal Services	37
LACERA	12
Los Angeles Homeless Services Authority	2
Medical Examiner-Coroner	1
Mental Health	73
Military and Veterans Affairs	2
Museum of Art	1
Public Defender	4
Public Health	2
Public Social Services	7
Regional Planning	4
Registrar-Recorder/County Clerk	3
Sheriff	9
Workforce Development, Aging and Community Services	14

**Total Number of Cases Outstanding Over One Year    265**

\* **NOTE:** These represent cases referred to County departments by the Office of County Investigations as the nature of the allegations are such that they more appropriately reviewed by the department.