

LOS ANGELES COUNTY AUDITOR-CONTROLLER

John Naimo
AUDITOR-CONTROLLER

Arlene Barrera
CHIEF DEPUTY

Peter Hughes
ASSISTANT AUDITOR-CONTROLLER

Robert Campbell
DIVISION CHIEF

OFFICE OF COUNTY INVESTIGATIONS

May 15, 2018

Fraud Hotline Semi-Annual Report July 1 through December 31, 2017



BOARD OF SUPERVISORS

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FACT SHEET

Fraud Hotline Semi-Annual Report

July 1 through December 31, 2017

Key Outcomes

During this reporting period 631 allegations were investigated, of which 117 (19%) were substantiated. Significant disciplinary action was imposed in approximately 36% of the substantiated cases where discipline was finalized. Departments have continued to respond actively to resolving cases open more than one year, as evidenced by a 28% decrease in the number of these cases from the prior period.

Significant Investigations Conducted by OCI

- A DCFS employee submitted duplicate claims for vehicle damage incurred while driving on County business and was paid twice for the same accident, resulting in a \$9,193 loss to the County. The case was referred to the Los Angeles County District Attorney's Office (LADA), which transferred the matter to its Hearing Officer Program for resolution. DCFS indicated that disciplinary action is pending.
- A DPW manager had a personal relationship with a consultant at the same time the manager was involved in reviewing and approving the consultant's invoices for payment, creating the appearance of a conflict. DPW staff also misused as-needed professional services contracts to circumvent County procurement requirements by purchasing unrelated equipment and services from third parties, and using the as-needed contracts as pass throughs. The manager received a 20-day suspension without pay, and staff were retrained on purchasing requirements.
- 42 County warrants were stolen, fraudulently negotiated, and/or counterfeited. Nine subjects were positively identified and referred to the LADA or the Los Angeles City Attorney for prosecution. Payment controls identified the fraudulent warrants when they were presented, preventing any loss to the County. To date, one subject has been arrested, convicted, and sentenced to jail and three years' probation.

Authority

OCI coordinates and conducts investigations of waste, fraud, and abuse within County government per Board of Supervisors Policy 9.040, and administers the County Fraud Hotline pursuant to Government Code Section 53087.6. OCI also refers investigations to other County departments and outside agencies, and reviews reports of referred investigations to ensure the allegations were thoroughly investigated. OCI also tracks the outcome of cases where disciplinary and/or corrective actions are pending, to ensure appropriate follow-up.

FAST FACTS

The largest percentage of substantiated cases involved:

- falsification of records
- forgery
- mismanagement
- failure to perform job duties

During the 6-month reporting period, 631 allegations were investigated.

Of the investigations completed, 117 (19%) were substantiated.

OCI partnered with the LADA to obtain criminal convictions against four perpetrators.

Significant disciplinary action (e.g., discharge, suspension) was imposed in approximately 36% of the substantiated cases.

LOS ANGELES COUNTY FRAUD HOTLINE

web: fraud.lacounty.gov

e-mail: fraud@auditor.lacounty.gov

phone: (800) 544-6861

fax: (213) 633-0991

mail: 500 W. Temple St., Suite 515

Los Angeles, CA 90012

YOU MAY REMAIN ANONYMOUS



This report is also available online at auditor.lacounty.gov

CONTACT: Robert G. Campbell, Chief, OCI
rcampbell@auditor.lacounty.gov
(213) 893-2153



2017-SAFHSR-2/2



JOHN NAIMO
AUDITOR-CONTROLLER

COUNTY OF LOS ANGELES DEPARTMENT OF AUDITOR-CONTROLLER

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May 15, 2018

TO: Supervisor Sheila Kuehl, Chair
Supervisor Hilda L. Solis
Supervisor Mark Ridley-Thomas
Supervisor Janice Hahn
Supervisor Kathryn Barger

FROM: John Naimo 
Auditor-Controller

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JULY 1, 2017 THROUGH
DECEMBER 31, 2017**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section 53087.6, and tracks and compiles the results of investigations into allegations received via the Hotline. Board of Supervisors Policy 9.040 authorizes OCI to conduct investigations of alleged criminal and administrative misconduct within County government, and OCI also reviews cases referred to and completed by other County departments to ensure the allegations are properly investigated. This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between July and December 2017.

Investigative Results

Investigative Activity

- 446 Cases Completed Countywide
 - 117 **Substantiated** (26.2%)
- 571 New Cases Reported (<1% **decrease** from prior period)
- 765 Cases Under Active Investigation (7.3% **decrease** from prior period)

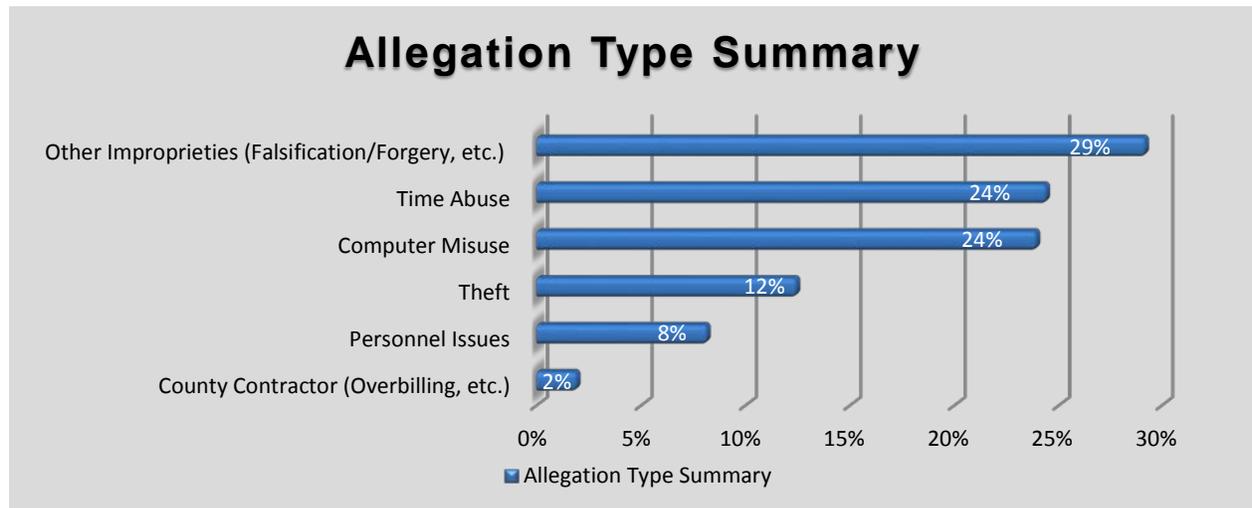
*Help Conserve Paper – Print Double-Sided
"To Enrich Lives Through Effective and Caring Service"*

The following chart summarizes investigative activity related to Hotline cases for the current period, which ended with **765 open cases** under investigation. We also dispositioned 185 other cases (categorized as Not Investigated on Attachment I) that involved either issues that are not jurisdictional to OCI (which were referred to the appropriate agencies), or were allegations that did not include sufficient information to conduct a meaningful investigation.

Comparison of Current Case Counts with the Prior Reporting Period

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	6/30/2017	12/31/2017	% Increase (Decrease)
Beginning Caseload	1,030	825	(19.9%)
- Cases Closed	(781)	(631)	(19.2%)
+ New Cases Opened	576	571	(<1%)
Ending Caseload	825	765	(7.3%)

Allegation Types – Substantiated Cases



- The **Other Improprieties** category includes misconduct such as falsification or forgery of County records, mismanagement, failure to provide services or perform job duties, and violations of County policies and/or procedures.

Disciplinary and/or Corrective Actions

- Of the 117 cases substantiated during this reporting period, departments reported that they finalized and imposed 73 separate disciplinary actions. **Approximately 35.6%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.

ACTION(S) TAKEN ¹	No.	%
Significant Disciplinary Action		
Discharged	3	4.1%
Resigned/Retired/Resigned in Lieu of Discharge	9	12.3%
Notice in Personnel Folder (Do Not Rehire or other restrictions)	4	5.5%
Suspended - 15 to 30 Days	4	5.5%
Suspended - Less than 15 Days	6	8.2%
Subtotal	26	35.6%
Moderate Disciplinary Action		
Reprimanded, Counseled, Procedures Changed/Reinforced, etc.	47	64.4%
TOTAL ACTIONS TAKEN:	73	100%

Case Turnover

- The number of cases **closed** during the period **decreased approximately 19%** over the prior 6-month period (ending June 30, 2017).
- Also, the number of cases **open more than one year decreased by approximately 28%** since the prior reporting period.

The decrease in the overall number of cases closed is attributable, at least in part, to a higher than average number of which were reported closed in the preceding period. In the first half of calendar year 2017, departments made significant progress resolving cases open more than one year, resulting in a higher number of case closures. Departments have continued to disposition older cases, as evidenced by the 28% decrease in the inventory of cases open more than one year.

Criminal Convictions

- Prosecutorial efforts by OCI in partnership with the District Attorney's Office, as well as other local law enforcement entities, resulted in the convictions of **four individuals, including one former County employee**, for crimes they committed against the County.
- All four individuals were sentenced to **jail time**, and other consequences such community service and probation.

¹ Some cases may include multiple subjects and/or multiple actions taken and accordingly, there may be multiple actions taken for one substantiated case.

Criminal penalties imposed as a result of these cases included jail time ranging from one day to two years, and financial restitution. Sentencing and penalty determinations are ultimately the purview of prosecutors and the court.

In addition, during this reporting period, we referred 10 new cases to the District Attorney as well as several cases to the Los Angeles City Attorney for misdemeanor prosecution, and others to out-of-state investigative and regulatory agencies for review and follow-up.

**Open Cases Referred for Prosecution –
District Attorney and Other Agencies**

Status	Number of Cases
Beginning Caseload	11
- Cases Adjudicated ²	(2)
+ New Cases Referred	10
Ending Caseload	19

Index of Attachments

Attachment I – Closed Case Summary: Substantiated, not substantiated, and cases not investigated, by department.

Attachment II – Substantiated case narratives, by investigating department.

Attachment III – Disciplinary/correction action(s) resolved and pending, by department and case number.

Attachment IV – Active investigations open over one year, by department.

We thank Departmental management and staff for their assistance and cooperation during our Hotline case investigation and tracking process. If you have any questions please call me, or your staff may contact Robert Campbell at (213) 893-0058.

JN:AB:PH:RGC:AMS:ms

Fraud Hotline Status ending December 31 2017.doc

Attachments

c: Sachi A. Hamai, Chief Executive Officer
Department Heads
Audit Committee
Countywide Communications

² Cases adjudicated may include referrals rejected by the District Attorney's Office.



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE
CLOSED CASE SUMMARY
July 1, 2017 through December 31, 2017**

	<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated *</i>	<i>Total</i>				
<u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u>								
Office of County Investigations	27	27	140	194	30.7%			
<u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u>								
Agriculture Commissioner/ Weights and Measures	0	2	0	2	0.3%			
Assessor's Office	1	4	0	5	0.8%			
Child Support Services	0	5	0	5	0.8%			
Children and Family Services	23	73	8	104	16.5%			
County Library	1	1	0	2	0.3%			
Fire	7	6	2	15	2.4%			
Health Services	5	64	13	82	13.0%			
Human Resources	1	3	0	4	0.6%			
Human Resources (Countywide)	2	19	2	23	3.7%			
Internal Services	4	0	1	5	0.8%			
Medical Examiner-Coroner	1	0	1	2	0.3%			
Mental Health	11	16	7	34	5.4%			
Parks and Recreation	6	13	0	19	3.0%			
Probation	0	9	2	11	1.7%			
Public Health	3	15	1	19	3.0%			
Public Social Services	23	56	7	86	13.6%			
Public Works	2	9	1	12	2.0%			
Sheriff	0	2	0	2	0.3%			
Treasurer and Tax Collector	0	5	0	5	0.8%			
Other Departments Total:	90	302	45	437	69.3%			
Grand Total	117	18.6%	329	52.1%	185	29.3%	631	100%

* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 19 of 185 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.



**DEPARTMENT COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE**

SUMMARY OF SUBSTANTIATED CASES

FOR THE PERIOD JULY 1, 2017 THROUGH DECEMBER 31, 2017

SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER

Board of Supervisors (BOS)

Case Number: 201712903

An employee engaged in unreported outside employment and misused County information technology resources to perform the non-County activities during County work hours. The employee was discharged.

Countywide

Case Number: Various

The Office of County Investigations (OCI) reviewed 14 cases involving 42 stolen, fraudulently negotiated, and/or counterfeited County checks, including payments issued by the County for the Los Angeles County Office of Education and Los Angeles Unified School District. Subjects were positively identified for five cases, which were then referred to the Los Angeles County District Attorney's Office (LADA) and the Los Angeles City Attorney for prosecution. One subject was arrested, convicted, and sentenced to jail and three years of probation.

Case Number: 201713440

A private citizen serving in an uncompensated advisory position allegedly had delinquent property taxes and tax liens. Although some of the allegations were factually accurate, we did not identify any policy violations or wrongdoing with the individual's appointment to the position.

Department of Children and Family Services (DCFS)

Case Number: 201510623

A former contractor commingled their accounting of County and non-County funds, and principals of the contractor appear to have used more than \$590,000 of agency funds for non-business related expenses. However, the source of the funds used for these questionable expenditures could not be determined.

Case Number: 201511348

A DCFS employee misappropriated at least 13 Metropolitan Transportation Authority (MTA) Transportation Passes and 160 Tokens totaling \$1,624 by submitting fraudulent requests for transportation assistance, then forging client signatures acknowledging receipt of the tokens and passes. DCFS indicated that disciplinary and/or corrective actions are pending, and the case was referred to the LADA for prosecution.

Case Number: 201612400

A DCFS employee submitted false claims for foster care payments for a period when the foster child was not in her custody, and deposited the payments, totaling \$6,504, into her personal bank account. The employee repaid the funds after being notified by DCFS that the child's foster care case had been closed. DCFS intends to discharge the employee, and the case was referred to the LADA for prosecution.

Summary of Substantiated Cases

Case Number: 201712607

A DCFS employee submitted duplicate vehicle claims for vehicle damage incurred while driving on County business and was paid twice for the same accident, resulting in a \$9,193 loss to the County. The case was referred to the LADA, which transferred the matter to its Hearing Officer Program for resolution. DCFS indicated that disciplinary action is pending.

Department of Mental Health (DMH)

Case Number: 201712823

A DMH employee inappropriately installed and used unauthorized remote access software on his assigned County computer and another computer, bypassing information technology security controls. Our forensic review did not find any evidence that protected or confidential information was inappropriately accessed or disseminated. DMH indicated that disciplinary action is pending.

Parks and Recreation (Parks)

Case Number: 201713231

Two Parks employees used their County-assigned computers to access sexually explicit content during work hours. Parks issued a Letter of Intent to Discharge to one employee, who subsequently resigned. The other employee retired. Parks also placed a "Top of File" notice in their respective personnel folders.

Probation Department (Probation)

Case Number: 201712737

A Probation manager admitted that he did not report his subordinate's conflict of interest in accordance with Department policy. The employee was not disciplined as the date of discovery of the incident was beyond the one-year statute of limitations on disciplinary action per the Public Safety Officers Procedural Bill of Rights.

Department of Public Health (DPH)

Case Number: 201612560

A DPH employee used another DPH employee's name and County fleet vehicle information to wash for her personal vehicle at a County contracted car wash, at County expense. DPH intends to discharge the employee.

Department of Public Social Services (DPSS)

Case Number: 201510353

A DPSS employee accessed the LEADER Replacement System (LRS) without a business need to view 76 participants' case records. The employee also failed to report to the Department that she was arrested, as required. DPSS indicated that the employee was discharged.

Department of Public Works (DPW)

Case Number: 201510253

A manager had a personal relationship with a consultant at the same time the manager was involved in reviewing and approving the consultant's invoices for payment, creating the appearance of a conflict. DPW staff also misused as-needed professional services contracts to circumvent County procurement requirements by purchasing unrelated equipment and services from third parties, and using the as-needed contracts as pass throughs. The manager received a 20-day suspension without pay, and staff were retrained on purchasing requirements.

Summary of Substantiated Cases

Treasurer and Tax Collector (TTC)

Case Number: 201713031

TTC staff violated Department policy by offering a discounted settlement on an ineligible account. When management became aware of the violation, they revoked the compromise offer before it was accepted. TTC retrained the involved staff, and intends to counsel one supervisor.

TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 27

Summary of Substantiated Cases

CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

Assessor's Office

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	4	0	5

Substantiated Cases:

Primary Allegation Type(s)	No.
Computer/internet abuse	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	8
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Summary of Substantiated Cases

Department of Children and Family Services (DCFS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
23	73	8	104

Substantiated Cases:

Primary Allegation Type(s)	No.
Computer/internet abuse	3
Conflict of interest	3
Falsification of time records	3
Unprofessional conduct	3
Misuse/Unauthorized access confidential data	2
Violation of County policies/procedures	2
Failure to conduct investigation	1
False medical certifications	1
Falsification/forgery of County records	1
HIPAA violation	1
Misuse of benefits	1
Theft-Collections	1
Time abuse	1
Total:	23

High Risk / Impact Case Summaries

Case Number: 201612051 – Violation of Department/County policies and procedures
A DCFS employee failed to disclose that a client with an open case lived with her, in violation of Department policy. DCFS intends to discharge the employee.

Case Number: 201712797 – Falsification of medical certifications to support absences
A DCFS employee falsified medical certificates that she used to excuse her absences over a nine-month period. DCFS intends to give the employee a 30-day suspension without pay.

Case Number: 201712871 – Conflict of Interest/Misuse/Unauthorized access to confidential data
A DCFS employee inappropriately befriended a DCFS client and contacted the client after the family's DCFS case was closed. The employee also accessed the CWS/CMS to view personal and confidential information in the client's case file without a business need. DCFS indicated that the employee received a 3-day suspension with Education-Based Discipline.

Case Number: 201713100 – Computer misuse of confidential data/personal use of County equipment
A DCFS employee inappropriately accessed the CWS/CMS without a business need to view the personal and confidential information in a former client's case file. The employee also used her County issued cell phone to make personal calls. DCFS intends to give the employee a 30-day suspension without pay.

Summary of Substantiated Cases

Case Number: 201713279 – Other improprieties – Failed to conduct an investigation

A DCFS employee failed to properly investigate a referral, and the employee's supervisor failed to ensure DCFS investigation protocols were followed. DCFS indicated that the employee's supervisor retired, and intends to give the employee a 15-day suspension.

Fraud Hotline Cases Open Over One Year:	21
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Summary of Substantiated Cases

County Library

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Types	No.
Abuse of Work Schedule	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Fire Department

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
7	6	2	15

Substantiated Cases:

Primary Allegation Types	No.
Falsification of time records	4
Computer/internet abuse	2
Violation of policies and procedures	1
Total:	7

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	7
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Summary of Substantiated Cases

Department of Health Services (DHS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
5	64	13	82

Substantiated Cases:

Primary Allegation Types	No.
Outside employment	2
Mismanagement	1
Misuse of Benefits	1
Time abuse – Falsification of time records	1
Total:	5

High Risk / Impact Case Summaries

Case Number: 2015-10119 – Mismanagement

DHS identified systemic weaknesses in tracking and reporting of fixed assets, which resulted in the inability to report on equipment status. In addition to implementing procedural changes and protocols to address the weaknesses, DHS also trained staff involved with the tracking of fixed assets. Three CAL-OSHA and Joint Commission deficiencies were identified and corrected.

Fraud Hotline Cases Open Over One Year:	9
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Summary of Substantiated Cases

Department of Human Resources

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	3	0	4

Substantiated Cases:

Primary Allegation Types	No.
Failure to provide services/perform job duties	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	2
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Summary of Substantiated Cases

Department of Human Resources - Countywide

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	19	2	23

Substantiated Cases:

Primary Allegation Types	No.
Violation of County policy	2

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	38
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Summary of Substantiated Cases

Internal Services Department

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
4	0	1	5

Substantiated Cases:

Primary Allegation Types	No.
Time abuse - Falsification of time records	2
Personal use of County equipment	1
Violation of County policies and procedures	1
Total:	4

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Department of Medical Examiner/Coroner

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	0	1	2

Substantiated Cases:

Primary Allegation Types	No.
Employee Misconduct	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	2
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Summary of Substantiated Cases

Department of Mental Health (DMH)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
11	16	7	34

Substantiated Cases:

Primary Allegation Types	No.
Violation of County policies/procedures	3
Falsification/forgery of County records	3
HIPAA violation	2
Failure to provide services/Overbilling	1
Mismanagement	1
Outside employment	1
Total:	11

High Risk / Impact Case Summaries

Case Number: 201611809 - Falsification/forgery of County records

A DMH employee falsified conservatorship documents, including claiming they visited a conservatee, who had died the week before the date of the reported visit. DMH indicated that disciplinary action is pending for the employee.

Case Number: 201612223 – Falsification/forgery of County records

A DMH employee used non-individualized, generic client notes and inflated the service hours provided for almost all his case claims over a five-month sample period. DMH indicated that quality assurance staff will void all of the claims the employee submitted, representing more than \$80,000 in lost revenue. DMH also indicated that disciplinary actions are pending.

Case Number: 201612408 – Mismanagement

Two DMH managers directed and/or pressured clinical staff to open mental health service cases for detained youth without a medical necessity. DMH indicated that disciplinary and/or corrective action is pending.

Case Number: 201612426 – Failure to provide services/Overbilling

Over a more than four-year period, a DMH contract provider billed for more expensive services than were actually provided, billed for excessive hours, and inappropriately billed for non-qualified providers. The billing irregularities were discovered while the agency was being merged with another mental health entity. DMH indicated the claims will be voided and other corrective actions are pending.

Fraud Hotline Cases Open Over One Year:	3
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Summary of Substantiated Cases

Department of Parks and Recreation (Parks)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
6	13	0	19

Substantiated Cases:

Primary Allegation Types	No.
Violation of policies and procedures	4
Falsification of time records	1
Personal use of County equipment	1
Total:	6

High Risk / Impact Case Summaries

Case Number: 20149939 – Falsification of time records

A Parks employee did not report actual hours worked on her timecards on a number of occasions, claimed an evening bonus for time that did not qualify for the bonus, and took extended lunches or failed to record lunch time taken on her timecards. Parks indicated that the employee was reprimanded and trained on policy and procedures.

Case Number: 201510903 – Violation of policies and procedures

A Parks employee failed to process Family Medical Leave Act (FMLA) paperwork within the required timeframe. Parks indicated that the FMLA process and workload was reviewed and areas for improvements were implemented, and a Department-wide training regarding FMLA was conducted by the DHR.

Fraud Hotline Cases Open Over One Year:	2
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Summary of Substantiated Cases

Department of Public Health (DPH)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	15	1	19

Substantiated Cases:

Primary Allegation Types	No.
Falsification of time records	1
Mismanagement	1
Violation of policies and procedures	1
Total:	3

High Risk / Impact Case Summaries

Case Number: 201612256 – Mismanagement

A DPH manager allowed staff to attend postgraduate classes during County work hours and failed to follow Departmental time and attendance policies. DPH indicated that the manager was directed to cease permitting staff to attend classes on County time, and he and the division staff were reminded of Attendance and Time Reporting policies.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Department of Public Social Services (DPSS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
23	56	7	86

Substantiated Cases:

Primary Allegation Types	No.
Falsification of time records	5
Misuse/Unauthorized access confidential data	11
Computer/Internet abuse	3
Conflict of Interest	2
Failed to provide services/job duties	1
Outside employment	1
Total:	23

High Risk / Impact Case Summaries

Case Number: 201712884 – Conflict of interest and Violation of policies and procedures

A DPSS employee engaged in an inappropriate romantic relationship with a participant, and failed to report the relationship to management, as required. This employee and another DPSS employee also used their County assigned e-mail accounts to send and receive personal e-mails, and both employees were not forthcoming during the departmental investigation. DPSS indicated that disciplinary action is pending concerning the first employee, and the second employee received a warning.

Case Number: 201712971 – Misuse/Unauthorized access of confidential data

A DPSS employee accessed the LRS and MEDS systems without authorization to view the personal and confidential information in a participant's (her child's father) case files. The employee also failed to report to her supervisor that she was living with the participant, and inappropriately completed, signed, and submitted the participant's aid application. DPSS indicated that the employee received a 20-day suspension without pay.

Case Number: 201713056 – Misuse/Unauthorized access of confidential data

A DPSS employee accessed the LRS system to view the personal and confidential information in a participant's case records without a business need, and provided personal and confidential information to the participant's ex-husband. DPSS indicated that the employee received a 25-day suspension without pay.

Case Number: 201713076 – Misuse/Unauthorized access of confidential data

A DPSS employee accessed the LRS system more than 500 times over a four-month period to view the personal and confidential information in two participants' (his parents') case files. The employee also did not report that the two participants live with him, as required by Departmental policy. DPSS indicated that the employee was discharged.

Summary of Substantiated Cases

Case Number: 201713337 – Conflict of interest

A DPSS employee engaged in an inappropriate, personal relationship with a participant. DPSS intends to discharge the employee.

Fraud Hotline Cases Open Over One Year:	4
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Summary of Substantiated Cases

Department of Public Works

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	9	1	12

Substantiated Cases:

Primary Allegation Types	No.
Computer/e-mail abuse	1
Personal use of County equipment	1
Total:	2

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	6
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LOS ANGELES COUNTY FRAUD HOTLINE
Resolved and Disciplinary/Corrective Action Pending
From Previous Semi-Annual Reports
As of December 31, 2017

Actual	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding
	(Formerly Attachment III)	(Formerly Attachment IV)	
Chief Executive Office	1	0	
	20138488 (2)		
Children and Family Services	2	0	
	20138397		
	201510256		
Fire Department	0	1	
		201612061	271
Health Services	3	2	
	20115399	201511181	326
	20149904	201712855	207
	201611927		
ISD	1	0	
	201510437		
Mental Health	12	7	
	20116239 (1)	20138765	431 (1)
	20138365 (1)	20138783	394 (1)
	20149064	201510299 (3)	683
	201510339	201510433 (3)	433
	201510488	201511172	416
	201510569	201511486	368
	201511089	201611888	209
	201511167		
	201511335		
	201511395		
	201511479		
	201611736		
Probation	0	2	
		201612049 (3)	375
		201612291	257
Public Defender	0	1	
		201511124 (3)	622
Public Health	4	4	
	201511002	201511292	479
	201611982	201612032	207
	201611983	201612362	325
	201612381	201612397	290
Public Social Services	7	5	
	201611774	201510146	563
	201611954	201511068	283
	201612365	201612016	251
	201612393	201612309	397
	201612537	201712756	200
	201712688		
	201712700		
RR/CC	1		
	201511144		
TTC	0	1	
		201612442	311
Departments Total:	31	23	

(1) Discipline is pending the employee's return from leave of absence.

(2) Case Investigated by DHR (Countywide).

(3) Case Investigated by OCI.



LOS ANGELES COUNTY FRAUD HOTLINE
Cases Actively Under Investigation
Open Over One Year, By Department
As of February 6, 2018

Department	Cases *
Alternate Public Defender	2
Animal Care and Control	2
Assessor's Office	8
Auditor-Controller	1
Chief Executive Office	4
Children and Family Services	21
County Counsel	1
District Attorney	1
Fire	7
Health Services	9
Human Resources	2
Human Resources (Countywide)	38
Medical Examiner-Coroner	2
Mental Health	3
Military and Veterans Affairs	4
Parks and Recreation	2
Probation	1
Public Defender	4
Public Social Services	4
Public Works	6
Registrar-Recorder/County Clerk	0
Sheriff	8
Treasurer and Tax Collector	0
Workforce Development, Aging and Community Services	12

***Total Number of Cases Outstanding Over One Year* 142**

* **NOTE:** These represent cases referred to County departments by the Office of County Investigations as the nature of the allegations are such that they are more appropriately reviewed by the department.