

LOS ANGELES COUNTY AUDITOR-CONTROLLER

Arlene Barrera

ACTING AUDITOR-CONTROLLER

Peter Hughes

ASSISTANT AUDITOR-CONTROLLER

Robert Campbell

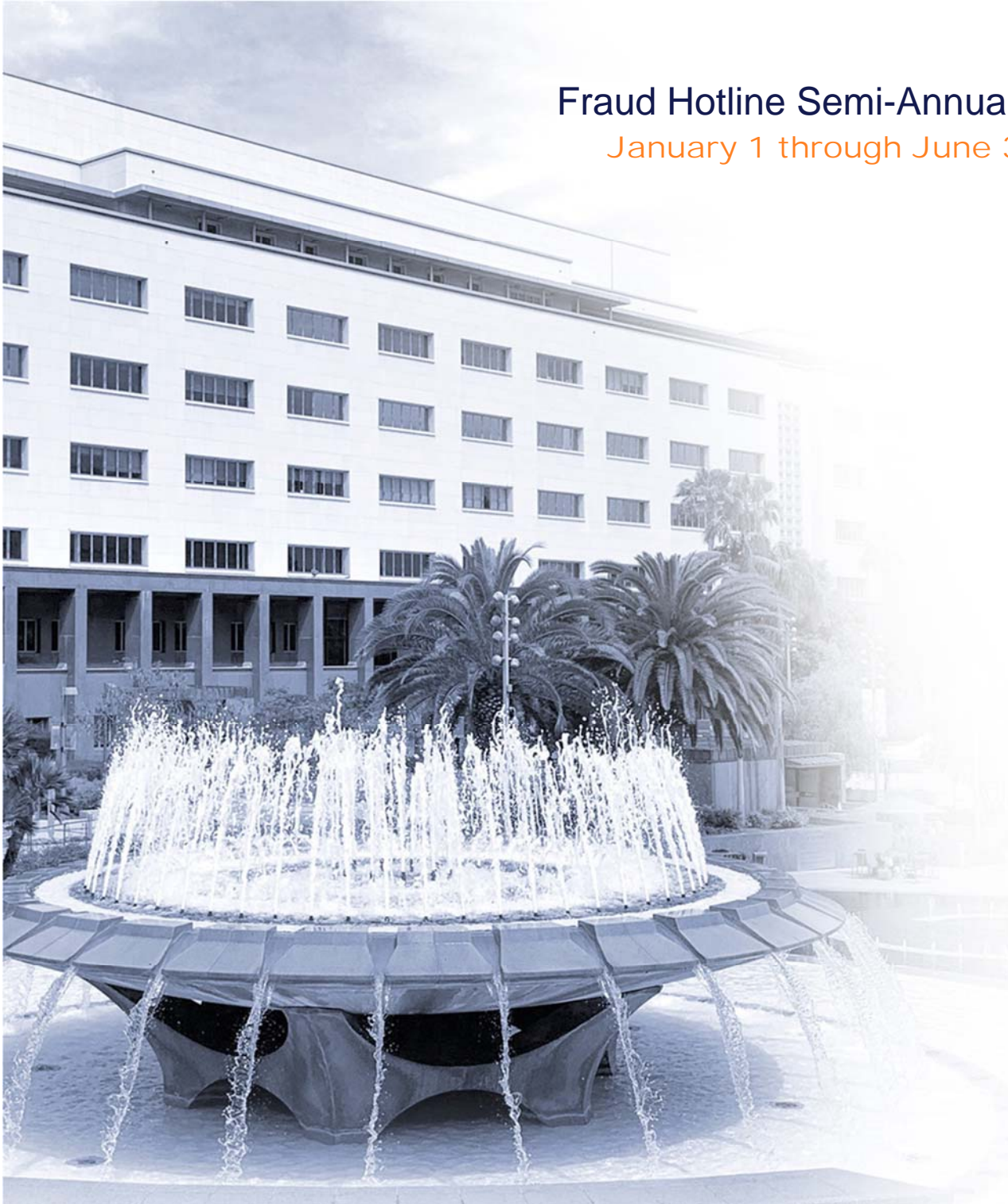
DIVISION CHIEF

OFFICE OF COUNTY INVESTIGATIONS

October 17, 2019

Fraud Hotline Semi-Annual Report

January 1 through June 30, 2019



BOARD OF SUPERVISORS

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FACT SHEET

Fraud Hotline Semi-Annual Report

January 1 through June 30, 2019

Key Outcomes

During this period 541 allegations were investigated, of which 113 (20.9%) were substantiated. Significant disciplinary action was imposed in approximately 52% of the substantiated cases where discipline was finalized.

Significant Investigations Conducted by OCI

- A Department of Children and Family Services (DCFS) adoptive parent misappropriated \$217,070 in adoption assistance benefits by submitting fraudulent claims over a six-year period after the adopted child died. The adoptive parent also refused to respond to DCFS's repeated inquiries concerning these improper payments. We referred this case to the Los Angeles District Attorney (LADA) for prosecution, and we recommended that DCFS implement controls over program payments to ensure beneficiaries and caregivers who receive them are not deceased.
- Two Treasurer and Tax Collector (TTC) employees mishandled a significant amount of cash found during a drayage and admitted to violating TTC policies. Because they did not immediately secure and count the cash when it was found, failed to maintain dual custody over the cash, and never counted all of it before taking it to the bank, we could not determine the exact amount of cash stolen, or identify the employee responsible. TTC indicated that one employee resigned in lieu of discharge and a "Top of File" notice (i.e., do not re-hire advisement) was placed in their personnel file, and the second employee was suspended without pay for five days.
- A Department of Health Services (DHS) employee stole copier/printer cartridges and other supplies valued at over \$26,000 and sold them online over approximately two and a half years. The DHS employee resigned from County service after being interviewed by OCI, and a "Top of File" was placed in his personnel folder. The case was referred to the LADA for prosecution.
- OCI investigated nine cases involving stolen, altered, and fraudulently negotiated warrants issued on behalf of the County, the Los Angeles County Office of Education (LACOE), and the Los Angeles Unified School District. The County and LACOE were ultimately reimbursed for the losses, totaling \$14,277. We were able to identify suspects in five of the cases and referred them to the LADA for prosecution.

Authority

OCI investigates waste, fraud, and abuse within County government per California Penal Code Section 830.13 and Board of Supervisors Policy 9.040, and administers the County Fraud, Waste, and Abuse Hotline pursuant to Government Code Section 53087.6. OCI also refers investigations to other County departments and outside agencies, and reviews reports of referred investigations to ensure the allegations are thoroughly investigated. OCI also tracks the outcome of cases where disciplinary and/or corrective actions are pending, to ensure appropriate follow-up.

LOS ANGELES COUNTY FRAUD HOTLINE

web: fraud.lacounty.gov phone: (800) 544-6861 mail: 500 W. Temple St. Suite 515
e-mail: fraud@auditor.lacounty.gov fax: (213) 633-0991 Los Angeles, CA 90012

YOU MAY REMAIN ANONYMOUS

FAST FACTS

The largest percentage of substantiated cases involved various types of misconduct:

- Time abuse
- Misuse of information technology resources
- Contractor improprieties

During the 6-month reporting period, 541 allegations were evaluated and/or investigated.

Of the investigations completed, 113 (20.9%) were substantiated.

OCI's investigative efforts resulted in 4 new case referrals to the District Attorney.

Significant disciplinary action (e.g., discharge, suspension) was imposed in almost 52% of the substantiated cases where discipline was finalized.



This report is also available online at auditor.lacounty.gov

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rcampbell@auditor.lacounty.gov
(213) 893-2153

2019-SAFHSR-2/2



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER**

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PHONE: (213) 974-8301 FAX: (213) 626-5427

ARLENE BARRERA
ACTING AUDITOR-CONTROLLER

October 17, 2019

TO: Supervisor Janice Hahn, Chair
Supervisor Hilda L. Solis
Supervisor Mark Ridley-Thomas
Supervisor Sheila Kuehl
Supervisor Kathryn Barger

FROM: Arlene Barrera *Arlene Barrera*
Acting Auditor-Controller

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JANUARY 1, 2019
THROUGH JUNE 30, 2019**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County (County) Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section 53087.6. Pursuant to California Penal Code Section 830.13 and Board of Supervisors Policy 9.040, OCI investigates or refers out for investigation alleged criminal and administrative misconduct within County government. OCI also tracks and compiles the results of Hotline investigations referred to and conducted by other County departments to ensure the allegations are properly investigated. This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between January and June 2019.

Investigative Results

Investigative Activity

- 541 Cases Completed Countywide
 - 113 **Substantiated** (20.9%)
- 679 New Cases Reported (13.0% **increase** from prior period)
- 980 Cases Under Active Investigation (16.4% **increase** from prior period)

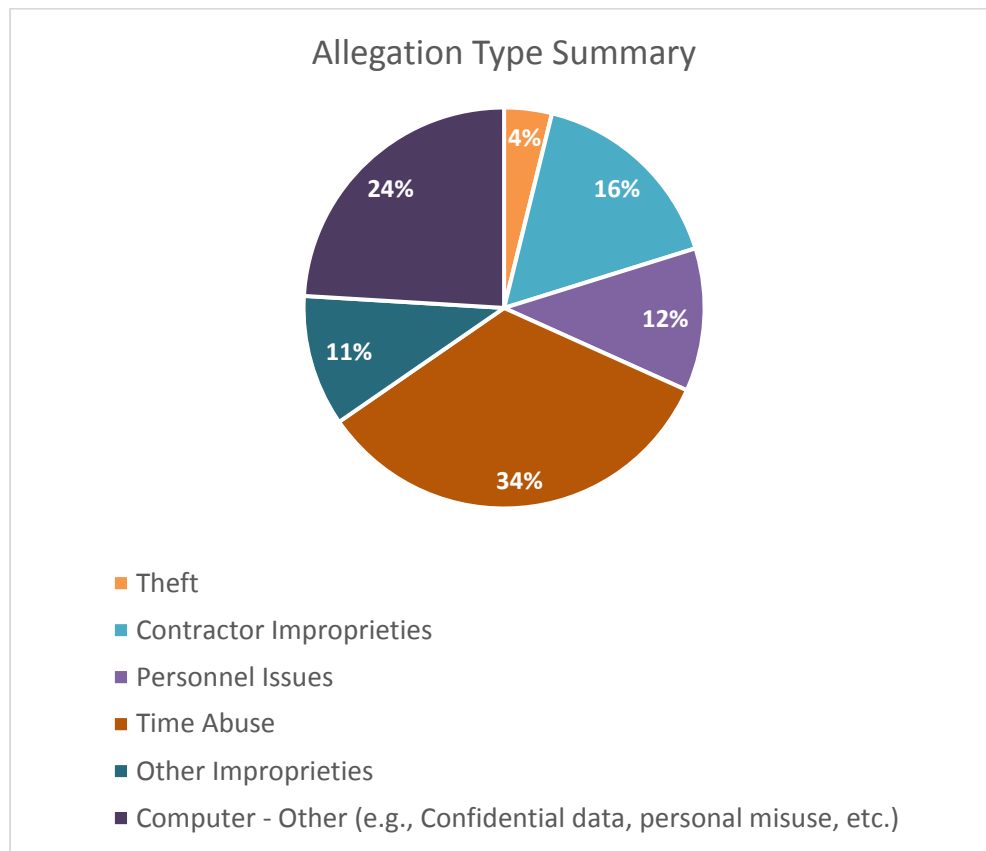
The following chart summarizes investigative activity related to Hotline cases for the current period, which ended with **980 open cases** under investigation. We also

dispositioned 203 other cases (categorized as Not Investigated on Attachment I) involving issues that either were not jurisdictional to OCI (which were referred to other agencies, as appropriate), or did not include sufficiently detailed information that would allow us to conduct a meaningful investigation.

**Comparison of Current Case Counts
 with the Prior Reporting Period**

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	12/31/2018	6/30/2019	% Increase (Decrease)
Beginning Caseload	756	842	11.4%
- Cases Closed	(515)	(541)	5.0%
+ New Cases Opened	601	679	13.0%
Ending Caseload	842	980	16.4%

Allegation Types – Substantiated Cases



- The **Other Improprieties** category includes inappropriate and unauthorized purchases, as well as other violations of County or departmental policies and/or procedures.

- The **Computer Misuse** category includes misuse of or unauthorized access to confidential data, uses of computer and other information technology resources (printer, etc.) for personal business, and general misuse of County Internet access.

Disciplinary and/or Corrective Actions

- Of the 113 cases substantiated during this reporting period, departments reported that they finalized and imposed 77 separate disciplinary actions. In addition, of the 16 cases closed in prior periods where discipline/corrective actions were resolved in the current period, 27 separate disciplinary actions were finalized. The number of separate disciplinary actions may exceed the number of closed cases because some cases involve more than one subject and/or action.
- For all actions finalized, **approximately 52%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.

ACTION(S) TAKEN	Current Period 1/1/19 to 6/30/19	Prior Periods	Total	%
Significant Disciplinary Action				
Discharged/Released	6	4	10	9.6%
Retired/Resigned	8	2	10	9.6%
Demoted	0	1	1	1.0%
Notice in Personnel Folder (Top of File/Do Not Rehire or other restrictions)	3	4	7	6.7%
Suspended - 15 to 30 Days	6	3	9	8.7%
Suspended - Less than 15 Days	13	4	17	16.3%
Subtotal	36	18	54	51.9%
Moderate Corrective Action				
Counseled, Certified Time, Notice of Expectation, Procedures Changed/Reinforced, Transferred, Reprimanded/Warning, etc.	41	9	50	48.1%
TOTAL ACTIONS TAKEN:	77	27	104	100%

Case Turnover

- The number of cases **closed** during the period increased by approximately 5% over the prior 6-month period.
- The number of cases **open more than one** year increased by approximately 32% since the prior reporting period.

Prosecutions and Criminal Convictions

- Investigative efforts by OCI in partnership with other local law enforcement entities has resulted in the District Attorney's Office (LADA) accepting for prosecution four new cases for which we found evidence that the subjects have committed crimes against the County.
- In addition, another 15 cases remain in progress with various prosecutorial agencies (County and local District Attorneys).

Open Cases Referred for Prosecution – District Attorney and Other Agencies

Status	Number of Cases
Beginning Caseload	16
- Cases Adjudicated ¹	(4)
+ New Cases Referred	7
Ending Caseload	19

Index of Attachments

Attachment I – Closed Case Summary: Substantiated, not substantiated, and cases not investigated, by department.

Attachment II – Substantiated case narratives, by investigating department.

Attachment III – Disciplinary/correction action(s) resolved and pending, by department and case number.

Attachment IV – Active investigations open over one year, by department.

¹ Cases adjudicated may include referrals rejected by the prosecutorial agency.

Board of Supervisors

October 17, 2019

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We thank Departmental management and staff for their assistance and cooperation during our Hotline case investigation and tracking process. Please call me if you have any questions, or your staff may contact Robert Campbell at (213) 893-0058.

AB:PH:RGC:AMS:ms

Fraud Hotline Status ending June 30 2019.doc

Attachments

c: Sachi A. Hamai, Chief Executive Officer
Department Heads
Audit Committee
Countywide Communications



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE
CLOSED CASE SUMMARY
January 1, 2019 through June 30, 2019**

	<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated *</i>	<i>Totals</i>				
<u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u>								
Office of County Investigations	21	15	160	196	36.2%			
Countywide Contract Monitoring Division	0	3	0	3	2%			
Auditor-Controller Total:	21	18	160	199	37.7%			
<u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u>								
Agricultural Commissioner/ Weights and Measures	1	1	0	2	0.4%			
Assessor's Office	2	5	3	10	1.8%			
Beaches and Harbors	1	1	0	2	0.4%			
Board of Supervisors	1	0	0	1	0.2%			
Child Support Services	6	4	1	11	2.0%			
Children and Family Services	36	78	19	133	24.6%			
District Attorney	0	1	0	1	0.2%			
Fire	1	12	2	15	2.8%			
Health Services	0	0	1	1	0.2%			
Human Resources	0	2	0	2	0.4%			
Human Resources (Countywide)	0	15	1	16	3.0%			
Medical Examiner-Coroner	0	7	1	8	1.5%			
Mental Health	4	1	3	8	1.5%			
Parks and Recreation	1	2	0	3	0.5%			
Probation	3	11	2	16	3.0%			
Public Health	1	16	4	21	3.9%			
Public Social Services	28	34	1	63	11.6%			
Public Works	6	17	4	27	5.0%			
Treasurer and Tax Collector	1	0	1	2	0.4%			
Other Departments Total:	92	207	43	342	63.4%			
Grand Total	113	209%	225	41.6%	203	37.5%	541	100%

* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 40 of 203 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.



**COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
LOS ANGELES COUNTY FRAUD HOTLINE**

SUMMARY OF SUBSTANTIATED CASES

FOR THE PERIOD JANUARY 1, 2019 THROUGH JUNE 30, 2019

SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER

Alternate Public Defender (APD)

Case Number: 201511268

Unidentified inmates at the Sheriff Department's Men's Central Jail exploited vulnerabilities in the voicemail system to make unaccountable and unmonitored phone calls, without paying required toll charges. The APD indicated it has implemented technical changes to the voicemail system to address the problem and prevent a recurrence.

Animal Care and Control (ACC)

Case Number: 201814735

Three ACC employees conducted personal business during County work hours, failed to accurately record variances on their timecards, and violated policies concerning mileage claiming and the use of County vehicles. ACC indicated that disciplinary and corrective actions are pending.

Office of the Assessor (Assessor)

Case Number: 201814809

An Assessor employee accessed an Assessor information system to view and download non-public files and data without authorization or a legitimate business need. The employee also misused his assigned County computer and Internet access to view sexually explicit content. Assessor indicated that the employee retired in lieu of discharge.

Department of Children and Family Services (DCFS)

Case Number: 201510494

A DCFS manager regularly forwarded County e-mails containing confidential and sensitive client and case information to his personal e-mail account, in violation of County policy. Also, the unit overseen by this manager completed a child abuse/neglect investigation involving a client of the employee's private practice, which created a conflict of interest. DCFS indicated that disciplinary action is pending.

Case Number: 201814227

A DCFS adoptive parent submitted fraudulent claims and misappropriated \$217,070 in adoption assistance payments for their adoptive child that had been deceased for six years. The parent also refused to respond to repeated attempts by DCFS to follow-up on the improper payments. The case was referred to the Los Angeles District Attorney's (LADA) for prosecution.

Countywide

Case Number: Various

OCI investigated nine cases involving 18 stolen, altered, and fraudulently negotiated warrants issued on behalf of the County, the Los Angeles County Office of Education, and the Los Angeles Unified School District. Our investigations positively identified suspects for five of the cases, which were referred to the LADA for prosecution.

Summary of Substantiated Cases

Fire Department (Fire)

Case Number: 201713194

A Fire employee failed to exercise good judgment and mishandled evidence when he misused seized cash evidence to purchase goods and services (e.g., window tinting purportedly for a Fire vehicle, weapon sights), bypassing Fire's regular procurement processes. We were unable to determine if the employee personally benefitted from the purchases or verify the extent of the activity because of poor recordkeeping and controls over seized cash. Fire indicated that because the statute of limitations had passed, no disciplinary action was imposed. However, Fire provided training to staff on proper evidence chain of custody and procurement procedures.

Department of Health Services (DHS)

Case Number: 201814061

A DHS employee stole approximately \$26,000 of copier/printer supplies and sold them online over approximately two and a half years. The DHS employee resigned from County service after being interviewed by OCI, and a "Top of File" notice was placed in the employee's personnel folder. The case was referred to the LADA for prosecution.

Internal Services Department (ISD)

Case Number: 201510819

An ISD employee compromised the County's solicitation process, resulting in three County vendors receiving purchase order awards and payments for services they did not perform, and/or which were outside the scope of their business expertise and technical ability. The employee improperly shared competing bids with the vendors and directed them how much to bid, to ensure they received the awards. ISD discharged the employee for other official misconduct. The case was referred to the LADA for prosecution, and they declined to file charges.

Department of Public Health (DPH)

Case Number: 201712629

A DPH employee improperly claimed and received \$1,690 in duplicate reimbursements for damage to his personal vehicle while driving on County business, after a third-party insurer had already paid to repair the damage. DPH indicated that the employee reimbursed the County, and disciplinary action is pending.

Department of Public Social Services (DPSS)

Case Number: 201713612

A DPSS employee took a County iPad for personal use, failed to maintain proper custody over it, used it to access inappropriate/sexually explicit content, and failed to timely report that it was stolen. DPSS indicated that disciplinary action is pending.

Department of Public Works (DPW)

Case Number: 201813858

A former DPW employee engaged in outside employment that entailed preparing land surveying records which were later subject to official review/approval by staff under his control and supervision at DPW, in violation of County Code and creating a conflict of interest. The former employee also attempted to meet with DPW on behalf of a client within a year of his retirement, which would have violated the County's post-government ordinance. DPW staff decline the meeting request. DPW indicated the employee

Summary of Substantiated Cases

retired, and the Department is working with County Counsel to develop a policy that addresses employees engaging in outside employment that may pose a conflict of interest.

Treasurer and Tax Collector (TTC)

Case Number: 201713727

Two TTC employees mishandled a significant amount of cash found during a drayage and admitted to violating TTC policies on cash handling. They also failed to count all of the cash before it was deposited in the bank, resulting in a reported \$300 shortage. Because they did not immediately secure and count the cash when it was found, failed to maintain dual custody over the cash until it was deposited, and never counted all of it before taking it to the bank, we could not determine the exact amount of cash stolen, or conclusively identify the employee responsible. TTC indicated that one employee resigned in lieu of discharge and a "Top of File" notice was placed in their personnel file, and the second employee was suspended without pay for five days.

TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 21

Summary of Substantiated Cases

CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

Agricultural Commissioner/Weights and Measures (AC/WM)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Time abuse	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Office of the Assessor (Assessor)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	5	3	10

Substantiated Cases:

Primary Allegation Type(s)	No.
Computer/Internet abuse	1
Misuse/unauthorized access	1
Total:	2

High Risk / Impact Case Summaries

Case Number: 201712940 – Computer/Internet abuse

An Assessor employee was found to have sexually explicit images and videos saved to his assigned County computer. Assessor indicated that the employee resigned.

Fraud Hotline Cases Open Over One Year:	7
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Summary of Substantiated Cases

Department of Beaches and Harbors (Beaches)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	1	0	2

Substantiated Cases:

Primary Allegation Type(s)	No.
Time abuse of regular work schedule/falsification of time records	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 201713399 – Time abuse of regular work schedule/falsification of time records
Four Beaches employees arrived to work late and/or left early, failed to report variances on their timecards, and clocked in/out at their work location for each other. Also, two of the employees inappropriately discussed an administrative investigation with other staff, and one of these employees and another employee were not forthcoming during the investigation. Beaches indicated that one employee was discharged, one employee received a 15-day suspension without pay, one at-will employee was released from County service, and the fourth employee is no longer employed by the County as their temporary assignment ended.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Board of Supervisors (BOS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	0	0	1

Substantiated Cases:

Primary Allegation Type(s)	No.
Unprofessional conduct	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Child Support Services Department (CSSD)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
6	4	1	11

Substantiated Cases:

Primary Allegation Type(s)	No.
Misuse/unauthorized access confidential data	2
Theft	2
Falsification of County records	1
Time abuse of regular work schedule/falsification of medical certifications	1
Total:	6

High Risk / Impact Case Summaries

Case Number: 201814523 – Misuse/unauthorized access confidential data

A CSSD employee created a conflict of interest when he engaged in a romantic relationship with a CSSD client and failed to disclose the relationship to the Department, as required. The employee also accessed the Child Support Enforcement system on several occasions to view personal and confidential information in the client's case file, without authorization or a business need. Further, the employee used a County computer and Internet access to conduct personal business. CSSD indicated that the employee received a 30-day suspension without pay.

Case Number: 201814985 – Falsification of County records

A CSSD employee submitted mileage reimbursement claims for two trips when he was a passenger and not the driver of the vehicle. CSSD indicated that the employee received a 15-day suspension without pay.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Department of Children and Family Services (DCFS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
36	78	19	133

Substantiated Cases:

Primary Allegation Type(s)	No.
Time abuse of regular work schedule/falsification of time records	9
Failure to provide services/perform job duties	8
Falsification/forgery of County records	4
Unprofessional conduct	3
Computer Internet abuse	2
Conduct unbecoming a County employee	2
Conflict of interest	2
Breach of confidentiality	1
Outside employment	1
Theft	1
Violation of County Nepotism policy	1
Violation of policies and procedures	1
Workplace violence/threats	1
Total:	36

High Risk / Impact Case Summaries

Case Number: 201814218 – Falsification/Forgery of County records/Failure to provide services/perform job duties

A DCFS employee admitted that she falsified a case contact in Child Welfare Services Case Management System (CWS/CMS) and in a detention report, and failed to properly carry out her duties, i.e., she did not conduct a required home visit but falsely reported that she did and did not obtain required signatures on DCFS forms. DCFS discharged the employee and placed a “Top of File/Do Not Rehire” notice in the employee’s personnel folder.

Case Number: 201814653 – Failure to provide services/perform job duties

Two DCFS employees did not properly document pertinent case information concerning a DCFS client in CWS/CMS. Also, one of the employees and a manager violated DCFS policy regarding detention of a child. DCFS indicated that the manager received a 3-day suspension without pay and Education-Based Discipline, and the two employees each received a 3-day suspension without pay.

Case Number: 201814681 – Failure to provide services/perform job duties

A DCFS employee and two supervisors failed to follow Departmental policies and procedures regarding a child abuse allegation, failed to properly document client

Summary of Substantiated Cases

contacts, and failed to provide adequate services to a child. DCFS indicated that one supervisor resigned, and disciplinary actions are pending for the second supervisor and the employee.

Case Number: 201814889 – Workplace violence/threats

A DCFS employee verbally and physically assaulted another employee at a County workplace. DCFS indicated that disciplinary action is pending.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Fire Department (Fire)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	12	2	15

Substantiated Cases:

Primary Allegation Types	No.
Computer-Misuse/sharing/distributing/compromising passwords/Falsification of time records	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 201814015 – Computer-Misuse/sharing/distributing/compromising passwords/
Falsification of time records

A Fire employee used a supervisor's login credentials for the Department's timekeeping system to inappropriately alter and falsify timecards for himself and two other employees and recorded overtime that he did not work. The employee also inappropriately obtained and used timekeeping system login credentials for the other two employees to alter their timecards. Fire indicated the employee was not overpaid as the timecard falsification was corrected before payroll was processed. Fire also indicated they intend to demote the employee.

Fraud Hotline Cases Open Over One Year:	1
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Summary of Substantiated Cases

Department of Mental Health (DMH)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
4	1	3	8

Substantiated Cases:

Primary Allegation Types	No.
Computer/Internet abuse	1
Inappropriate/unauthorized purchases	1
Misuse of County equipment/resources	1
Time abuse of regular work schedule	1
Total:	4

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	50
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Summary of Substantiated Cases

Department of Parks and Recreation (Parks)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	2	0	3

Substantiated Cases:

Primary Allegation Types	No.
Time abuse of regular work schedule/falsification of time records	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	2
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Summary of Substantiated Cases

Probation Department (Probation)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	11	2	16

Substantiated Cases:

Primary Allegation Types	No.
Time abuse/overtime abuse of regular work	2
Workplace violence/threats (physical & verbal)	1
Total:	3

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Department of Public Health (DPH)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	16	4	21

Substantiated Cases:

Primary Allegation Types	No.
Falsification/forgery of County records and Theft of mileage claims	1
Total:	1

High Risk / Impact Case Summaries

Case Number: 201915213 – Falsification/forgery of County records and Theft of mileage claims
A DPH employee falsified inspection reports for several businesses, as well as itineraries and mileage claims for the dates they claimed the inspections were conducted. DPH indicated that disciplinary action is pending.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Department of Public Social Services (DPSS)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
28	34	1	63

Substantiated Cases:

Primary Allegation Types	No.
Misuse/unauthorized access confidential data	12
Violation of County policies and procedures	8
Conduct unbecoming of County employee	2
Falsification of time records/ Forgery of County records/Time abuse of regular work schedule	3
Breach of confidentiality	1
Falsification of medical certification	1
Outside employment	1
Total:	28

High Risk / Impact Case Summaries

Case Number: 201814842 – Conduct unbecoming of a County employee

A DPSS employee made inappropriate personal telephone calls and sent personal text messages from his personal cellular phone to two DPSS clients, outside of work hours and without their consent. The employee also admitted to calling and texting several other participants. Further, the employee behaved in an unprofessional manner toward members of the public and failed to carry out his job duties adequately and promptly. DPSS indicated the employee was discharged.

Case Number: 201814843 – Misuse/unauthorized access confidential data

A DPSS employee accessed the LEADER Replacement System (LRS) to view the personal and confidential information in a participant's case record without authorization or a legitimate business need. The employee also improperly issued an Electronic Benefit Transfer card to a participant without authorization, failed to follow appropriate issuance procedures, and allowed the participant into a restricted work area. DPSS indicated that disciplinary action is pending.

Case Number: 201915096 – Misuse/unauthorized access confidential data

A DPSS employee accessed the LRS system to view the personal and confidential information in a participant's case record without authorization or a legitimate County business need. The employee also admitted to sharing confidential information from the participant's case file with another individual. DPSS indicated that the employee received a 16-day suspension without pay.

Fraud Hotline Cases Open Over One Year:	5
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Summary of Substantiated Cases

Department of Public Works (DPW)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
6	17	4	27

Substantiated Cases:

Primary Allegation Type(s)	No.
Falsification of mileage claims and Time abuse of regular work schedule/Falsification of time records	2
Breach of confidentiality	1
Falsification/forgery of County records	1
Misuse of personal use of County resources	1
Misuse/unauthorized access confidential data	1
Total:	6

High Risk / Impact Case Summaries

Case Number: 201915163 – Misuse/unauthorized access confidential data

A DPW employee inappropriately accessed her own account in a water vendor database and changed information to prevent her water service from being disconnected, and to prevent being charged late fees or a service reconnection fee. DPW indicated the employee's access was removed and disciplinary action is pending.

Fraud Hotline Cases Open Over One Year:	0
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Summary of Substantiated Cases

Treasurer and Tax Collector (TTC)

Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	0	1	2

Substantiated Cases:

Primary Allegation Types	No.
Time abuse of regular work schedule/Falsification of time records	1
Total:	1

High Risk / Impact Case Summaries

None

Fraud Hotline Cases Open Over One Year:	1
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LOS ANGELES COUNTY FRAUD HOTLINE
Resolved and Pending Disciplinary/Corrective Actions
From Previous Semi-Annual Reports
As of June 30, 2019

Department	Resolved Cases - Discipline/Corrective Action Taken	Pending Cases - Discipline/Corrective Action	Number of Days Outstanding
Assessor	0	1	
		201814157	269
Children and Family Services	6	10	
	201611849	201510557	352
	201712857	201612539	185
	201713106	201712608 (1)	510
	201713110	201713549	433
	201713215	201814013	277
	201713293	201814033	408
		201814037	208
		201814038	363
		201814455	255
		201814502	251
Health Services	1	3	
	201511181	201712726	362
		201713599	488
		201713716	411
Mental Health	2	4	
	201510299 (1)	201611522	593
	201511486	201612408 (2)	628
		201612426 (2)	619
		201713395	556
Parks and Recreation	1	0	
	201813799		
Probation	0	4	
		201713335 (1)	380
		201713343	559
		201813894	213
		201814273	228
Public Defender	0	1	
		201511124 (1)	1168
Public Health	3	1	
	201612032	201814074	248
	201612560		
	201713175		

(1) Case Investigated by OCl.

(2) Case previously indicated as 2017 in error. Correct year for this case is 2016.



LOS ANGELES COUNTY FRAUD HOTLINE
Resolved and Pending Disciplinary/Corrective Actions
From Previous Semi-Annual Reports
As of June 30, 2019

Department	<i>Resolved Cases - Discipline/Corrective Action Taken</i>	<i>Pending Cases - Discipline/Corrective Action</i>	<i>Number of Days Outstanding</i>
Public Social Services	2	4	
	201713345	201813837	290
	201713759	201814053	283
		201814360	277
		201814440	262
Treasurer and Tax Collector	1	0	
	201814165		
Workforce Development, Aging and Community Services	0	1	
		201612390	269
Departments Total:	16	29	

(1) Case Investigated by OCl.

(2) Case previously indicated as 2017 in error. Correct year for this case is 2016.



LOS ANGELES COUNTY FRAUD HOTLINE
Cases Actively Under Investigation
Open Over One Year, By Department
As of July 1, 2019

Department (1)	Cases *
Alternate Public Defender	2
Animal Care and Control	4
Assessor	7
Auditor-Controller	3
Chief Executive Office	4
Consumer and Business Affairs	1
County Counsel	3
Fire	1
Health Services	23
Human Resources	1
Human Resources (Countywide) (2)	25
Internal Services	20
LACERA	3
Medical Examiner-Coroner	2
Mental Health	50
Military and Veterans Affairs	3
Parks and Recreation	2
Public Defender	8
Public Social Services	5
Regional Planning	2
Registrar-Recorder/County Clerk	7
Sheriff	10
Treasurer and Tax Collector	1
Workforce Development, Aging and Community Services	4

Total Number of Cases Outstanding Over One Year 191

- (1) These represent cases referred to County departments by the Office of County Investigations as the nature of the allegations are such that they are more appropriately reviewed by the department.
- (2) Human resources-related allegations (i.e., exam improprieties, improper personnel practices)