

# LOS ANGELES COUNTY AUDITOR-CONTROLLER

**Arlene Barrera**  
ACTING AUDITOR-CONTROLLER

**Peter Hughes**  
ASSISTANT AUDITOR-CONTROLLER

**Robert Campbell**  
DIVISION CHIEF

OFFICE OF COUNTY INVESTIGATIONS

April 2, 2019

## Fraud Hotline Semi-Annual Report July 1 through December 31, 2018



### BOARD OF SUPERVISORS

**Hilda L. Solis**  
FIRST DISTRICT

**Mark Ridley-Thomas**  
SECOND DISTRICT

**Sheila Kuehl**  
THIRD DISTRICT

**Janice Hahn**  
FOURTH DISTRICT

**Kathryn Barger**  
FIFTH DISTRICT

2018-SAFHSR-1/2

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## OFFICE OF COUNTY INVESTIGATIONS

April 2, 2019

### FACT SHEET

## Fraud Hotline Semi-Annual Report

July 1 through December 31, 2018

### Key Outcomes

During this period 515 allegations were investigated, of which 110 (21.4%) were substantiated. Significant disciplinary action was imposed in approximately 56% of the substantiated cases where discipline was finalized.

### Significant Investigations Conducted by OCI

- OCI investigated 9 cases involving 14 stolen and fraudulently negotiated County checks, including 2 payroll checks. Three subjects were positively identified and, based on the jurisdiction where the crimes occurred, were referred to the Los Angeles County District Attorney, the Orange County District Attorney, and the Los Angeles City Attorney for prosecution. Charges were filed on subjects for two cases. One subject was convicted and sentenced to 20 days of community service and 3 years of probation, and the other case is pending.
- An Assessor employee installed unauthorized software onto his assigned County computer and misused County information technology resources to download illicit versions of copyright protected video games. The employee resigned.
- A Treasurer and Tax Collector employee violated policies on searching and inventorying decedents' property by failing to maintain dual custody during searches, and failing to properly inventory property and document field calls. The employee was suspended for five days without pay.
- An Assessor employee misused his assigned County computer and Internet access to view non-County business related videos, including inappropriate and explicit content, during work hours. Assessor staff indicated disciplinary action is pending.

### Authority

OCI coordinates and conducts investigations of waste, fraud, and abuse within County government per Board of Supervisors Policy 9.040, and administers the County Fraud, Waste, and Abuse Hotline pursuant to Government Code Section 53087.6. OCI also refers investigations to other County departments and outside agencies, and reviews reports of referred investigations to ensure the allegations are thoroughly investigated. OCI also tracks the outcome of cases where disciplinary and/or corrective actions are pending, to ensure appropriate follow-up.

### FAST FACTS

The largest percentage of substantiated cases involved various types of misconduct:

- Misuse or unauthorized access to confidential data
- Falsification or forgery of County records
- Time abuse
- Policy/procedure violations

During the 6-month reporting period, 515 allegations were investigated.

Of the investigations completed, 110 (21.4%) were substantiated.

OCI partnered with local District Attorneys to obtain four criminal convictions.

Significant disciplinary action (e.g., discharge, suspension) was imposed in almost 56% of the substantiated cases.

### LOS ANGELES COUNTY FRAUD HOTLINE

web: [fraud.lacounty.gov](http://fraud.lacounty.gov)  
e-mail: [fraud@auditor.lacounty.gov](mailto:fraud@auditor.lacounty.gov)

phone: (800) 544-6861  
fax: (213) 633-0991

mail: 500 W. Temple St. Suite 515  
Los Angeles, CA 90012

YOU MAY REMAIN ANONYMOUS



This report is also available online at [auditor.lacounty.gov](http://auditor.lacounty.gov)

**CONTACT:** Robert G. Campbell, Division Chief  
[rcampbell@auditor.lacounty.gov](mailto:rcampbell@auditor.lacounty.gov)  
(213) 893-2153



2018-SAFHSR-2/2



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER**

KENNETH HAHN HALL OF ADMINISTRATION  
500 WEST TEMPLE STREET, ROOM 525  
LOS ANGELES, CALIFORNIA 90012-3873  
PHONE: (213) 974-8301 FAX: (213) 626-5427

ARLENE BARRERA  
ACTING AUDITOR-CONTROLLER

April 2, 2019

TO: Supervisor Janice Hahn, Chair  
Supervisor Hilda L. Solis  
Supervisor Mark Ridley-Thomas  
Supervisor Sheila Kuehl  
Supervisor Kathryn Barger

FROM: Arlene Barrera *Arlene Barrera*  
Acting Auditor-Controller

SUBJECT: **FRAUD HOTLINE SEMI-ANNUAL REPORT – JULY 1, 2018 THROUGH  
DECEMBER 31, 2018**

The Auditor-Controller's Office of County Investigations (OCI) operates the Los Angeles County Fraud, Waste, and Abuse Hotline (Hotline) pursuant to California Government Code Section 53087.6, and tracks and compiles the results of investigations into allegations received via the Hotline. Board of Supervisors Policy 9.040 authorizes OCI to conduct investigations of alleged criminal and administrative misconduct within County government, and OCI also reviews cases referred to and completed by other County departments to ensure the allegations are properly investigated. This report summarizes investigations concerning allegations of fraud, waste, and abuse of resources that were completed between July and December 2018.

## ***Investigative Results***

### **Investigative Activity**

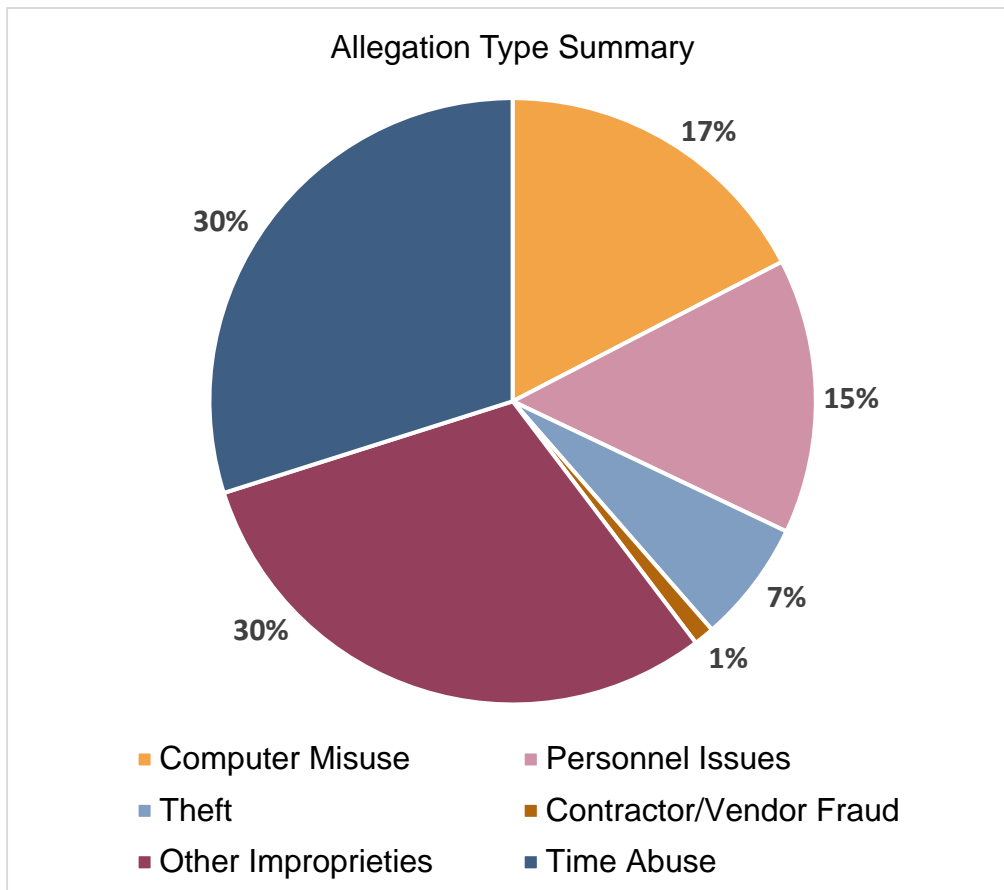
- 515 Cases Completed Countywide
  - 110 **Substantiated** (21.4%)
- 601 New Cases Reported (3.8% **increase** from prior period)
- 842 Cases Under Active Investigation (11.4% **increase** from prior period)

The following chart summarizes investigative activity related to Hotline cases for the current period, which ended with **842 open cases** under investigation. We also dispositioned 151 other cases (categorized as Not Investigated on Attachment I) involving issues that either were not jurisdictional to OCI (which were referred to other agencies, as appropriate), or did not include sufficiently detailed information that would allow us to conduct a meaningful investigation.

**Comparison of Current Case Counts  
 with the Prior Reporting Period**

CASE STATUS	SEMI-ANNUAL PERIOD ENDING		
	6/30/2018	12/31/2018	% Increase (Decrease)
Beginning Caseload	765	756	(1.2%)
- Cases Closed	(588)	(515)	(12.4%)
+ New Cases Opened	579	601	3.8%
<b>Ending Caseload</b>	<b>756</b>	<b>842</b>	<b>11.4%</b>

**Allegation Types – Substantiated Cases**





- The **Other Improprieties** category includes misconduct such as failure to provide services or perform job duties, falsification or forgery of County records, and violations of County or departmental policies and/or procedures.
- The **Computer Misuse** category includes misuse of or unauthorized access to confidential data, uses of computer and other information technology resources (printer, facsimile machine, etc.) for personal business, and general misuse of County Internet access.

### Disciplinary and/or Corrective Actions

- Of the 110 cases substantiated during this reporting period, departments reported that they finalized and imposed 67 separate disciplinary actions. In addition, of the 31 cases closed in prior periods where discipline/corrective actions were resolved in the current period, 41 separate disciplinary actions were finalized. The number of separate disciplinary actions may exceed the number of closed cases because some cases involve more than one subject.
- For all actions finalized, **approximately 55.5%** resulted in **significant disciplinary actions**, including **discharges**, being imposed.

ACTION(S) TAKEN	Current Period 7/1/18 to 12/31/18	Prior Periods	Total	%
<b>Significant Disciplinary Action</b>				
Discharged	0	3	3	2.8%
Resigned/Retired/Resigned in Lieu of Discharge	9	3	12	11.1%
Notice in Personnel Folder (Do Not Rehire or other restrictions)	6	5	11	10.2%
Suspended - 15 to 30 Days	1	8	9	8.3%
Suspended - Less than 15 Days	21	4	25	23.2%
<b>Subtotal</b>	<b>37</b>	<b>23</b>	<b>60</b>	<b>55.6%</b>
<b>Moderate Corrective Action</b>				
Counseled, Notice of Expectation, Procedures Changed/Reinforced, Training, Reprimanded/Warning, etc.	30	18	48	44.4%
<b>TOTAL ACTIONS TAKEN:</b>	<b>67</b>	<b>41</b>	<b>108</b>	<b>100%</b>

### Case Turnover

- The number of cases **closed** during the period decreased by approximately 12.4% over the prior 6-month period.
- The number of cases **open more than one** year increased by approximately 5.1% since the prior reporting period.

### Prosecutions and Criminal Convictions

- Prosecutorial efforts by OCI in partnership with the District Attorney's Office as well as other local law enforcement entities resulted in charges being filed concerning **five individuals** for crimes they committed against the County.
- During the period, **four individuals** were convicted of crimes involving check fraud and identify theft. Sentences included **jail time, restitution (\$38,450)**, and other consequences such as community service and probation.

Sentencing and penalty determinations are ultimately the purview of prosecutors and the court. OCI provides support to prosecutions arising from investigations conducted by its staff.

In addition, during this reporting period, we referred **four new cases** to prosecutorial agencies (County and local District Attorneys).

#### Open Cases Referred for Prosecution – District Attorney and Other Agencies

Status	Number of Cases
Beginning Caseload	19
- Cases Adjudicated <sup>1</sup>	(7)
+ New Cases Referred	4
<b>Ending Caseload</b>	<b>16</b>

<sup>1</sup> Cases adjudicated may include referrals rejected by the prosecutorial agency.

## ***Index of Attachments***

***Attachment I*** – Closed Case Summary: Substantiated, not substantiated, and cases not investigated, by department.

***Attachment II*** – Substantiated case narratives, by investigating department.

***Attachment III*** – Disciplinary/correction action(s) resolved and pending, by department and case number.

***Attachment IV*** – Active investigations open over one year, by department.

We thank Departmental management and staff for their assistance and cooperation during our Hotline case investigation and tracking process. Please call me if you have any questions, or your staff may contact Robert Campbell at (213) 893-0058.

AB:PH:RGC:AMS:ms

Fraud Hotline Status ending December 31 2018.doc

### Attachments

c: Sachi A. Hamai, Chief Executive Officer  
Department Heads  
Audit Committee  
Countywide Communications



**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE  
CLOSED CASE SUMMARY  
July 1, 2018 through December 31, 2018**

	<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated *</i>	<i>Totals</i>	
<b><u>CASES INVESTIGATED BY AUDITOR-CONTROLLER:</u></b>					
Office of County Investigations	15	13	113	141	27.4%
<b><u>CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:</u></b>					
Agriculture Commissioner/ Weights and Measures	0	1	0	1	0.2%
Child Support Services	0	1	0	1	0.2%
Children and Family Services	34	48	12	94	18.3%
County Counsel	0	1	0	1	0.2%
Fire	5	17	7	29	5.6%
Health Services	2	32	3	37	7.2%
Human Resources	2	1	3	6	1.2%
Human Resources (Countywide)	1	18	1	20	3.9%
Internal Services Department	0	3	0	3	0.6%
Mental Health	1	4	0	5	1.0%
Parks and Recreation	3	10	0	13	2.5%
Probation	2	10	3	15	2.9%
Public Health	6	8	1	15	2.9%
Public Social Services	33	55	4	92	17.9%
Public Works	1	9	2	12	2.3%
Registrar-Recorder/County Clerk	0	1	0	1	0.2%
Sheriff	1	6	0	7	1.3%
Treasurer and Tax Collector	3	4	1	8	1.5%
Workforce Development, Aging and Community Services	1	12	1	14	2.7%
Other Departments Total:	95	241	38	374	72.6%
<b>Grand Total</b>	<b>110</b>	<b>254</b>	<b>151</b>	<b>515</b>	<b>100%</b>

\* Cases not investigated due to immateriality, the allegation was previously investigated, referred to outside agencies, or insufficient information was provided. In addition, 26 of 151 cases were referred to the County Equity Oversight Panel (CEOP) for investigation. CEOP does not report their findings back to Office of County Investigations and therefore should be contacted directly for further information.





**COUNTY OF LOS ANGELES  
DEPARTMENT OF AUDITOR-CONTROLLER  
LOS ANGELES COUNTY FRAUD HOTLINE**

**SUMMARY OF SUBSTANTIATED CASES**

*FOR THE PERIOD JULY 1, 2018 THROUGH DECEMBER 31, 2018*

**SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER**

**Animal Care and Control (ACC)**

**Case Number: 201814043**

Unknown individual(s) used three ACC Voyager cards to make eight unauthorized diesel fuel purchases totaling \$2,562 at various gas stations. The perpetrator(s) could not be identified because video surveillance recordings were no longer available. Also, we determined that the ACC employees who were assigned the cards are not likely responsible for the unauthorized purchases as the Voyager cards were used either out of state or at locations 70 to 100 miles from their home or work locations, and on days the employees reported to work. Based on the pattern of the transactions, it appears the Voyager card information was stolen using a credit card reader. ACC management cancelled the Voyager cards and filed a claim to recover the loss.

**Office of the Assessor (Assessor)**

**Case Number: 201813897**

An Assessor employee installed unauthorized software onto his assigned County computer and used his Internet access to download illegal copies of video games. Assessor indicated that the employee resigned.

**Case Number: 201814157**

An Assessor employee used his assigned County computer and Internet access to view non-County business related videos, including involving inappropriate and explicit content, during his regular work hours. The Assessor indicated that disciplinary action is pending.

**Countywide**

**Case Number: Various**

OCI investigated 9 cases involving 14 stolen and fraudulently negotiated County checks, including 2 County payroll checks. Three subjects were positively identified for three cases, which were referred to the Los Angeles County District Attorney's Office, the Orange County District Attorney, or the Los Angeles City Attorney for prosecution. Charges were filed on the subjects for two cases. One subject was convicted and sentenced to 20 days of community service and three years of probation, and sentencing is pending for other case.

**Case Number: 201814000**

A private individual used the County Seal on his vehicle without authorization. Due to security concerns, County Counsel sent the individual a cease and desist letter. The individual provided proof he removed the seal from his vehicle.

**Fire Department (Fire)**

**Case Number: 201713042**

Two Fire employees inappropriately accepted a free meal from an active County vendor. Fire indicated that disciplinary action was not taken as the statute of limitations had passed.

## Summary of Substantiated Cases

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### Treasure and Tax Collector (TTC)

**Case Number: 201712974**

A TTC Public Administrator employee failed to conduct searches of decedents' property in dual custody and failed to properly document field calls and inventoried items. TTC indicated that the employee received a five-day suspension without pay.

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**TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 15**

## Summary of Substantiated Cases

### CASES REFERRED TO AND SUBSTANTIATED BY OTHER DEPARTMENTS

#### Department of Children and Family Services (DCFS)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
34	48	12	94

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Violation of County policies/procedures	6
Time abuse/regular work schedule	6
Misuse/unauthorized access confidential data	5
Failure to provide services/perform job duties	5
Conduct unbecoming a County employee	2
Falsification of medical certifications	2
Unprofessional conduct	2
Abuse of authority	1
Conflict of interest	1
Falsification/forgery of County records	1
Computer/Internet abuse	1
Time abuse/falsification of time records	1
Outside employment	1
<b>Total:</b>	<b>34</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201510557** – Violation of County policies and procedures

Three DCFS employees were insubordinate, failed to comply with work instructions, were uncooperative and disrespectful with their supervisors, and behaved in a way that instilled fear in supervisors and coworkers. Two of these employees created a hostile work environment and engaged in activity that appeared to be intended to cause fear in their coworkers. One of these employees was significantly deficient in managing her cases, which could have potentially placed children's safety at risk and failed to comply or cooperate with an administrative investigation. The third employee misused her access to confidential client case information and failed to protect the confidentiality of clients and DCFS employees. DCFS intends to suspend the three employees: one for 3 days with the option of Education Based Discipline, and two employees for 30 days without pay.

**Case Number: 201612539** – Unprofessional conduct

A DCFS employee provided false information and statements to the Department during an administrative investigation, and to a County third-party administrator related to a Worker's Compensation claim. The employee also filed a false police report that resulted in arrest warrants being issued for family members of a Departmental client.

## Summary of Substantiated Cases

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Further, the employee failed to cooperate fully during an administrative investigation and was not truthful and forthcoming with the Department. DCFS intends to discharge the employee.

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**Case Number: 201712947** – Conduct unbecoming a County employee

A former DCFS social worker engaged in inappropriate behavior by becoming romantically involved with a transitional youth client, sharing a residence with the youth for approximately one year, and allowing the relationship to deteriorate to the point of domestic violence. The employee also was not truthful with DCFS staff during the investigation of the domestic violence incident. DCFS indicated that the employee resigned before the conclusion of the investigation, and a “Top of File” was submitted.

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**Case Number: 201713824** – Conflict of interest

A DCFS employee created a conflict of interest by living or staying at the home of a caregiver with DCFS foster children in their care, and was not truthful with investigators during administrative investigations. DCFS indicated that the employee resigned in lieu of discharge, and a “Top of File/Do not Rehire” was placed in the employee’s personnel file.

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**Case Number: 201814032** – Time abuse/falsification of medical certifications

A DCFS employee admitted to falsifying and submitting at least four medical certification notes to justify his absences. DCFS indicated the employee resigned in lieu of discharge.

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**Case Number: 201814502** – Falsification/forgery of County records

A DCFS employee failed to complete mandatory home visits with a number of clients and falsified contacts in CWS/CMS by indicating he had conducted the home visits. The employee also failed to cooperate with an official administrative investigation. DCFS intends to discharge the employee.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>1</b>
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## Summary of Substantiated Cases

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### Fire Department (Fire)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
5	17	7	29

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Conduct unbecoming a County employee	1
Violation of County policies and procedures	1
Time abuse/falsification of time records	1
Unprofessional conduct	1
Violation of outside employment	1
<b>Total:</b>	<b>5</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201612578** – Time abuse/falsification of time records

A Fire employee reported to work late on a number of occasions. This employee and a second employee took extended breaks without authorization and failed to accurately report actual time worked on their timecards. Fire indicated that one employee was suspended for five days without pay, and the second employee resigned, and a “Top of File” was placed in his personnel file.

**Case Number: 201814436** – Violation of County policies and procedures

A Fire employee was arrested for felony possession of child pornography and misdemeanor destroying or concealing evidence. Fire reported the employee used his own computer while on duty; no County IT resources were used or destroyed in the commission of the offenses. Fire indicated that the employee resigned.

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>2</b>
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## Summary of Substantiated Cases

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### Department of Health Services

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	32	3	37

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Time abuse/falsification of time records	2
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>9</b>
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## Summary of Substantiated Cases

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### Department of Human Resources (DHR)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	1	3	6

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Employee misconduct	1
Time abuse/falsification of time records	1
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

**Case Number: 201713190** – Employee misconduct

A DHR employee used County resources (i.e., a County computer and office printer) to print personal documents and falsified his position title on the documents, which were addressed to an outside party, to give the documents more credibility. DHR indicated the employee was suspended for 10 days without pay.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>1</b>
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## Summary of Substantiated Cases

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### Department of Human Resources – Countywide

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	18	1	20

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Failure to provide services/perform job duties	1
<b>Total:</b>	<b>1</b>

#### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>25</b>
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## Summary of Substantiated Cases

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### Department of Mental Health

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	4	0	5

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Personal use of County equipment/resources	1
<b>Total:</b>	<b>1</b>

#### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>28</b>
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## Summary of Substantiated Cases

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### Department of Parks and Recreation

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	10	0	13

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Personal use of County equipment/resources	1
Time abuse/falsification of time records	1
Violation of County policies and procedures	1
<b>Total:</b>	<b>3</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>2</b>
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## Summary of Substantiated Cases

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### Probation Department

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
2	10	3	15

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Time abuse/falsification of time records	1
Unprofessional conduct	1
<b>Total:</b>	<b>2</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

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### Department of Public Health (DPH)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
6	8	1	15

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Personal Use of County equipment/resources	3
Time abuse/falsification of time records	2
Employee misconduct	1
<b>Total:</b>	<b>6</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201814236** – Personal use of County equipment/resources

A DPH manager misused County information technology resources (i.e., his County assigned computer and a County office printer) to print sexually explicit material. DPH indicated that a County Policy of Equity report was filed and disciplinary action is pending.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>0</b>
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## Summary of Substantiated Cases

### Department of Public Social Services (DPSS)

#### Investigative Results:

<b>Substantiated</b>	<b>Not Substantiated</b>	<b>Not Investigated</b>	<b>Total</b>
33	55	4	92

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Misuse/unauthorized access confidential data	9
Unprofessional conduct	4
Violation of County policies and procedures	4
Conflict of interest	2
Theft/benefits fraud	2
Time abuse/regular work schedule	2
Outside employment	2
Failure to provide services/perform job duties	2
Time abuse/falsification of time records	2
Conduct unbecoming a County employee	1
Time abuse/falsification of medical certifications	1
Abuse of authority	1
Personal use of County equipment/resources	1
<b>Total:</b>	<b>33</b>

#### **High Risk / Impact Case Summaries**

##### **Case Number: 201713740** – Theft/benefits fraud

A DPSS employee and her partner provided misleading information to fraudulently obtain more than \$105,000 in public assistance benefits and In-Home Supportive Services over an approximate five-year period. DPSS indicated that criminal charges were filed against the employee, she was arrested, and pled guilty to various related charges (e.g., welfare fraud, grand theft, perjury, etc.). DPSS also indicated the employee resigned prior to her discharge being finalized, and a “Top of File” memo was placed in the employee’s personnel file.

##### **Case Number: 201814046** – Falsification of medical certifications

A DPSS employee admitted to using her assigned County computer to modify and falsify 21 medical certifications to excuse her absences. The employee also logged on to her personal e-mail account during work hours to access and print personal documents using a County printer. DPSS indicated that the employee resigned in lieu of discharge, and a “Top of File” was placed in her personnel file.

##### **Case Number: 201814074** – Conduct unbecoming a County employee (**DPSS investigated**)

A former DPSS employee (*current DPH employee*) engaged in inappropriate social/sexual relationships with at least three different DPSS participants while he was a DPSS employee, and lived and fathered a child with one of the participants. The employee socialized with the participants during his scheduled telework days at his

## Summary of Substantiated Cases

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home. In addition, the employee failed to notify DPSS management of these activities, which constituted conflicts of interest. DPSS indicated that DPH was issued a referral memo of their findings for disciplinary action.

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**Case Number: 201814200** – Unprofessional conduct

A DPSS employee engaged in disrespectful contact toward a departmental participant and her husband. In addition, the employee attempted to engage in social relationships with participants. DPSS intends to suspend the employee for 30 days without pay.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>3</b>
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## Summary of Substantiated Cases

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### Department of Public Works

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	9	2	12

#### Substantiated Cases:

Primary Allegation Type(s)	No.
Personal use of County equipment/resources	1
<b>Total:</b>	<b>1</b>

#### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>6</b>
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## Summary of Substantiated Cases

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### Sheriff's Department

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	6	0	7

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Time abuse	1
<b>Total:</b>	<b>1</b>

#### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>10</b>
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## Summary of Substantiated Cases

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### Treasurer and Tax Collector

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
3	4	1	8

#### Substantiated Cases:

<b>Primary Allegation Types</b>	<b>No.</b>
Time abuse/regular work schedule	2
Time abuse/falsification of time records	1
<b>Total:</b>	<b>3</b>

### High Risk / Impact Case Summaries

None

<b>Fraud Hotline Cases Open Over One Year:</b>	<b>1</b>
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## Summary of Substantiated Cases

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### Workforce Development, Aging and Community Services (WDACS)

#### Investigative Results:

<i>Substantiated</i>	<i>Not Substantiated</i>	<i>Not Investigated</i>	<i>Total</i>
1	12	1	14

#### Substantiated Cases:

<b>Primary Allegation Type(s)</b>	<b>No.</b>
Falsification/forgery of County records	1
<b>Total:</b>	<b>1</b>

#### **High Risk / Impact Case Summaries**

**Case Number: 201612390** – Falsification/forgery of County records

A WDACS employee failed to conduct field visits, and falsified his itineraries and time records. WDACS intends to suspend the employee without pay.

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<b>Fraud Hotline Cases Open Over One Year:</b>	<b>2</b>
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**LOS ANGELES COUNTY FRAUD HOTLINE**  
**Resolved and Disciplinary/Corrective Action Pending**  
**From Previous Semi-Annual Reports**  
**As of December 31, 2018**

<b>Actual</b>	<i>Resolved Cases - Discipline/Corrective Action Taken</i>	<i>Pending Cases - Discipline/Corrective Action</i>	<i>Number of Days Outstanding</i>
<b>Children and Family Services</b>	<b>4</b>	<b>9</b>	
	201510615	201611849	404
	201612051	201712608 (1)	329
	201612153	201712857	272
	201713040	201713106	413
		201713110	223
		201713215	223
		201713293	228
		201713549	252
		201814033	227
<b>Health Services</b>	<b>6</b>	<b>3</b>	
	201511481	201511181	691
	201713170	201713599	307
	201713203	201713716	230
	201713261		
	201713422		
	201713573		
<b>Internal Services Department</b>	<b>1</b>	<b>0</b>	
	201713034		
<b>Mental Health</b>	<b>3</b>	<b>6</b>	
	201510433 (1)	201510299 (1)	1048
	201712799	201511486	733
	201712959	201611522	412
		201712408	447
		201712426	438
		201713395	375
<b>Human Resources</b>	<b>1</b>	<b>0</b>	
	201713067		
<b>Parks</b>	<b>1</b>	<b>0</b>	
	201712894		
<b>Probation</b>	<b>1</b>	<b>2</b>	
	201713712	201713335 (1)	199
		201713343	378
<b>Public Defender</b>	<b>0</b>	<b>1</b>	
		201511124 (1)	987
<b>Public Health</b>	<b>1</b>	<b>3</b>	
	201511292	201612032	572
		201612560	488
		201713175	341



**LOS ANGELES COUNTY FRAUD HOTLINE  
Resolved and Disciplinary/Corrective Action Pending  
From Previous Semi-Annual Reports  
As of December 31, 2018**

<b>Actual</b>	<i>Resolved Cases - Discipline/Corrective Action Taken</i>	<i>Pending Cases - Discipline/Corrective Action</i>	<i>Number of Days Outstanding</i>
<b>Public Social Services</b>	<b>12</b>	<b>2</b>	
	201612016	201713345	304
	201612227	201713759	241
	201612523		
	201713073		
	201713256		
	201713337		
	201713387		
	201713531		
	201713535		
	201713581		
	201713593		
	201713949		
<b>TTC</b>	<b>1</b>	<b>0</b>	
	201713031		
<b>Departments Total:</b>	<b>31</b>	<b>26</b>	

(1) Case Investigated by OCI.



**LOS ANGELES COUNTY FRAUD HOTLINE**  
**Cases Actively Under Investigation**  
**Open Over One Year, By Department**  
**As Of February 5, 2018**

Department	Cases *
Alternate Public Defender	1
Animal Care and Control	2
Assessor's Office	13
Auditor-Controller	3
Beaches and Harbors	1
Board of Supervisors	1
Chief Executive Office	3
Children and Family Services	1
County Counsel	2
District Attorney	1
Fire	2
Health Services	9
Human Resources	1
Human Resources (Countywide)	25
Internal Services	7
LACERA	2
Medical Examiner-Coroner	6
Mental Health	28
Military and Veterans Affairs	3
Parks and Recreation	2
Public Defender	5
Public Social Services	3
Public Works	6
Regional Planning	1
Registrar-Recorder/County Clerk	4
Sheriff	10
Treasurer and Tax Collector	1
Workforce Development, Aging and Community Services	2

**Total Number of Cases Outstanding Over One Year    145**

\* **NOTE:** These represent cases referred to County departments by the Office of County Investigations as the nature of the allegations are such that they more appropriately reviewed by the department.